

**Police and Crime Commissioner
for Derbyshire
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DATE 11 October 2013

AGENDA STRATEGIC GOVERNANCE BOARD

DATE OF MEETING	14 October 2013
TIME OF MEETING	2pm
LOCATION	The Reception Room, Police HQ, Ripley.
PCC CONTACT OFFICER	Mrs Liz Kelly (0300 1226007)
CONSTABULARY CONTACT OFFICER	Supt G Knighton (01773 572675)
DISTRIBUTION	PCC A Charles DPCC H Dhindsa CC M Creedon DCC A Goodwin ACC D Collins T/ACC Smethem Mr D Peet Mrs H Boffy Mr T Neaves Supt. G Knighton Mrs L Kelly OPCC Subject Matter Expert

**David Peet
Chief Executive
Office of the Police and Crime Commissioner for Derbyshire**

The short notice of the publication of papers in advance of the meeting is a constraint of the timetable of monthly meetings. Please note that all meetings of the Board are published in advance on the Commissioner's website.

The meeting of the Strategic Governance Board on 14 October 2013 in the Reception Room, Police Headquarters, Ripley, Derbyshire. DE5 3RS

AGENDA: Reports attached

ITEM	SUBJECT	DECISION NO.
PUBLIC QUESTION AND ANSWER TIME Maximum 30 minutes allotted time <i>(If the Q & A Session is shorter than the allotted 30 minutes, the meeting will commence early)</i>		
1	APOLOGIES FOR ABSENCE	
2	DECLARATIONS OF INTEREST (IF ANY)	
3	ANNOUNCEMENTS FROM THE CHAIR	
4A	MINUTES/DIGEST OF DECISIONS Meeting held on 16 September 2013	
4B	DRAFT UNCONFIRMED Minutes of the Meeting of the Joint, Audit, Risk and Assurance Committee dated 25 September 2013	
5	REVIEW OF ACTIONS	
6	FORWARD PLAN 4 Month Forward Plan October - January 2013	
COMMISSIONER DECISION REPORTS		
<i>Reports of the Chief Executive</i>		
7A	Strategic Governance Board meetings up to December 2014	74/13
<i>Reports of the Treasurer</i>		
8A	None	
<i>Reports of the Chief Constable</i>		
9A	None	

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<i>Joint Reports of the Treasurer/Chief Constable</i>		
10A	None	
PERFORMANCE REPORTS		
<i>Joint Reports of the Chief Executive/Chief Constable</i>		
11A	None	
<i>Reports of the Chief Constable</i>		
12A	Chief Constable's Scorecards	
12B	Force Thematic Report – POCA/Financial Investigation	
12C	Force Thematic Report – Domestic Abuse	
<i>Reports of the Chief Executive</i>		
13A	Summary of issues raised to the Commissioner by Organisations Working with Victims of Domestic Abuse in Derbyshire	
<i>Joint Reports of the Treasurer/Chief Constable</i>		
14A	Finance Briefing – Period 5	
DECISIONS TAKEN AND NOT YET REPORTED TO STRATEGIC GOVERNANCE BOARD		DECISION NUMBER
15A	Decisions taken but not yet reported to the Strategic Governance Board	71/13 – 73/13

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**POLICE AND CRIME COMMISSIONER FOR DERBYSHIRE
 STRATEGIC GOVERNANCE BOARD**
 Held in the Council Chamber, South Derbyshire District Council Offices,
 Civic Offices, Civic Way, Swadlincote, Derbyshire. DE11 0AH

16 September 2013

In attendance:

Office of the Police and Crime Commissioner: Commissioner Charles, Deputy Mr D Peet, Mrs H Boffy, Mrs D Rimell and Mrs L Kelly

Constabulary: Chief Constable Creedon, ACC D Collins, and Mr T Neaves

Agenda No.	Item	Decision (including Dec No.)/ Action
Public Question and Answer Time No members of public in attendance		
1.	APOLOGIES Commissioner Dhindsa, Deputy Chief Constable Goodwin and Temporary ACC Smethem	
2.	DECLARATIONS OF INTEREST None	
3.	ANNOUNCEMENTS FROM THE COMMISSIONER The Commissioner announced some forthcoming events being organised by the OPCC as follows: Your Police Your Views, being held in Swadlincote on 17 September Wildlife Summit being held at the Post Mill, Centre, South Normanton on 25 September	

	<p>As no members of the public or press were in attendance at the meeting the Commissioner questioned the value in organising 'Alternative Venue' Board meetings. More innovative methods of reaching the public, through more targeted message alerts and possibly via a webchat during the Public Question and Answer Time will be explored for the future. The fourth and last alternative venue 2013 will be held at Derby in November.</p>	
<p>4.</p>	<p>MINUTES/ DIGEST OF DECISIONS</p> <p>An error was noted on page 4 – DCC Collins should read ACC Collins.</p> <p>Page 4 – the Chief Constable questioned the recording of the minute and asked that the wording be changed. The current minute said that ACC Collins 'was unable to answer' a specific issue. The Chief Constable suggested that a more appropriate minute might read '...a discussion was held and ACC Collins agreed to produce additional information to the OPCC concerning a number of points raised'</p> <p>Page 5 – the Chief Constable requested that the wording on page 5 (under the performance report for ACC Cotterill/TACC Smethem, final paragraph) be amended to read that sanction detection rates had decreased.</p> <p>The minutes of the Strategic Governance Board Meeting held on 22 July 2013 were agreed.</p>	
<p>5.</p>	<p>ACTIONS OUTSTANDING</p> <p>Actions outstanding from the Strategic Governance Board 24 June 2013 'Health and Safety Update'. The Chief Constable would provide an update to the Commissioner outside of the Board meeting within the next few days.</p> <p>Action outstanding from the Strategic Governance Board on 22 July 2013 'Safeguarding Adults (including Persons susceptible to harm and missing adults). The Chief Constable provided the Commissioner with an update on each of the three queries.</p> <p>Noted.</p>	<p>Action Outstanding</p>

6.	<p>FORWARD PLAN Noted. The Chief Constable raised some concerns about the volume of the papers for the meeting and the value of some of the reports which were produced in line with the Forward Plan. He agreed to meet with DCC Goodwin, David Peet and Helen Boffy to review the production of SGB reports. It was agreed this was appropriate one year on from the election of the PCC.</p>	<p>Meeting to be arranged.</p>
7A	<p>ANNUAL REPORT FOR THE INDEPENDENT CUSTODY VISITING SCHEME A copy of the annual report for the ICV Scheme for the year 1 April 2012 to 31 March 2013 was presented for noting. The Commissioner publicly thanked the volunteers for their work on both the Independent Custody Visiting and the Animal Welfare Schemes.</p>	<p>68/13</p>
	<p>RESOLVED: To note the report. The Deputy Police and Crime Commissioner to write a letter of thanks to ICVs for their commitment to custody visiting throughout the year.</p>	<p>Send Letter of thanks to ICVs</p>
7B	<p>USE OF TABLETS TO INCREASE EFFICIENCY IN THE OPCC A pilot had been developed whereby the PCC, his Deputy, CEO and Chief Finance Officer are to be issued with tablet devices with a view to preventing them having to print out copies of papers for meetings etc. They will also be able to receive and respond to email through the devices. It is anticipated that the devices will be ready in time for the next meeting of the Board which will utilise tablets to view reports instead of hard copy papers.</p>	<p>69/13</p>
	<p>RESOLVED: That the Office of the Police and Crime Commissioner undertakes a trial for six months, starting in October, using tablet devices instead of printing out papers for the Strategic Governance Board and all other meetings for the participating officers.</p>	

8A	<p>BANK INDEMNITY Formal minuted approval was required for the Treasurer to sign the bank indemnity for lithograph cheques, which was granted.</p>	70/13
	<p>RESOLVED: That Helen Boffy be and is hereby authorised to sign on behalf of the Police and Crime Commissioner for Derbyshire an indemnity in favour of Barclays Bank PLC in the form and terms of an indemnity produced to the Company and on which indemnity a Certified Copy of this approval shall be inscribed.</p>	
11A	<p>INDEPENDENT CUSTODY VISITING – VISIT STATISTICS The visiting statistics covering the period 1 April to 30 June 2013 were presented for information. The Commissioner noted that no weekend evening visits had been made to Buxton or Chesterfield Custody Suites. ICVs will be encouraged to fill these visiting gaps.</p>	
	<p>RESOLVED: To note the report</p>	
11B	<p>REPORTING ON THE OUTCOME OF ICV VISITS A summary of the issues raised by ICV from 1 April to 30 June 2013 was presented for noting.</p> <p>The commissioner was concerned to read on page 1 of Annex B that the Custody Suite was extremely short staffed. ACC Collins explained that the Suite was not ‘short’ staffed but the Suite was instead working on minimum staffing levels at the time of this specific visit.</p> <p>Whilst the PCC has visited custody suites previously, in order to gain a deeper understanding of the issues facing custody now, the PCC expressed a desire to visit Derby Custody Suite in the near future, to be arranged.</p>	<p>Arrange for the PCC to visit Derby Custody Suite</p>
	<p>RESOLVED: To note the report</p>	

11C	<p>POLICE DOG WELFARE – VISITING STATISTICS The Police Dog Welfare Visiting statistics covering the period 1 April – 30 June 2013 were presented for information.</p>	
	<p>RESOLVED: To note the report.</p>	
12A	<p>CHIEF CONSTABLES SCORECARDS – STANDING ITEM</p> <p>Performance Report – DCC Goodwin The PCC noted that the number of complaints upheld had increased slightly compared to 2012/13 and queried this increase. The Deputy Chief Constable is lead for this area and would be able to provide an update, unfortunately not in attendance at the meeting.</p> <p>It was noted that Police Officer and Police Staff sickness levels had increased slightly, however, the Commissioner noted that the sickness levels were still reasonable when compared with other forces and business sectors. The commissioner also commented that low morale may be contributing to the increase in sickness levels, however, some reassuring messages can be directed to staff and Officers around Stage 2 transfers, outsourcing, and Moving Forward which may alleviate staff/Officer concerns</p> <p>Performance Report – ACC Collins Discussion on the detailed reports for 12C (Killed or seriously injured road collisions) and 12D (Contact Management Department (CCMC) will take place later in the agenda.</p> <p>Performance Report – ACC T/ACC Smethem There was a small rise in recorded crime, however this increase was not unexpected after the large reductions last year.</p> <p>Increases in acquisitive crimes (mainly low level crime) was noted and the Chief Constable explained that this upturn was also being experienced by other Forces also, particularly Northern, rather than Southern Forces (it was noted by the PCC that Southern Forces generally received</p>	

	<p>lighter budget cuts).</p> <p>There had been an upturn in stealing on C Division and particularly shoplifting and vehicle crime. The Chief Constable made a number of points about the rise in crime in the area and explained that one reason for this upturn was due to a prolific offender in the Chesterfield area. The offender had been arrested a number of times and finally remanded by the Magistrates so it was hoped that this will slow the increase on C Division.</p> <p>It was noted that the number of Rape and Sexual Offences had increased, however this increase could be attributable to (1) historic reporting and (2) much proactive work in this area. The Chief Constable offered to prepare a more detailed report on this area of crime for the future.</p>	
	<p>RESOLVED: The Scorecards were noted.</p>	
<p>12B</p>	<p>FORCE THEMATIC REPORT – ALCOHOL RELATED HARM</p> <p>Contained within the report at paragraph 2 was the total number of crimes where alcohol had been identified as a factor. The report suggested that some of the increases may be attributable to better reporting on the system rather than any real increase – the increase in shoplifting may be down to erroneously recording ‘theft of alcohol’ as ‘alcohol related crime’. This information lead the Commissioner to question the validity of the data and queried if any audit procedures are in place to ensure accurate recording of crimes, in response ACC Collins explained that the crime tag system is subjective to each individual Officers’ perception and provided good examples of this subjectivity.</p> <p>The Commissioner suggested that this information should be recorded correctly, however, the Chief Constable questioned the value of putting significant extra resources into ensuring a consistency of recording in this area, suggesting that the Force do not necessarily need to prove that the problem exists as it is widely acknowledged that alcohol related crime does exist and the report highlighted much ongoing work to tackle problems relating to alcohol misuse. The issue will be explored further.</p> <p>It was suggested that some analysis of re-offending to evaluate the alcohol awareness sessions</p>	<p>To explore further the issue of consistent alcohol related crime recording.</p>

	and whether people are actually changing their behaviour after participation would be useful.	
	RESOLVED: To note the contents of the report.	
12C	<p>FORCE THEMATIC REPORT – KILLED OR SERIOUSLY INJURED ROAD COLLISIONS In 2010 the Department of Transport set a single aspirational target to reduce KSIs by 50%, a figure of 287 for Derbyshire.</p> <p>The report showed that the 12 month rolling figure for KSIs (April 2013) was 365 with the 2012 and 2011 figures standing at 414 and 422 respectively. The reductions were welcomed particularly when set against a backdrop of reduced funding and budget cuts.</p> <p>The ‘Fatal Four’ as detailed within the report are the most common factors present in KSI collisions nationally. The commissioner was informed that as the Force have now moved to a new recording system and a breakdown analysis on the fatal four will be possible for future reports.</p>	Further on analysis on the Fatal Four to be incorporated into future reports.
	RESOLVED: To note the report.	
12D	<p>FORCE THEMATIC REPORT – CONTACT MANAGEMENT DEPARTMENT (CCMC) UPDATE The improvement in performance for Emergency calls has been sustained for the past 3 months for calls answered within 10, 15 seconds and the average ring time, as detailed within the report.</p> <p>Performance for non-emergency calls answered within 40 and 60 seconds and the average ring time had however fallen dramatically as detailed within the report. The report explained that the drop in performance could be attributable to IT issues and an increase in the volume of calls.</p> <p>The NSIR Audit, detailed on page 5 was positive and showed good overall compliance for the period April to July 2013.</p>	

	<p>Data from customer satisfaction surveys April to July 2013 demonstrated improving performance with high levels of customer satisfaction which was acknowledged.</p> <p>A further CCMC update report will be presented to the meeting of the Board in December and the Commissioner requested that an update on all staff reviews be included within this update.</p>	<p>CCMC Update Report for December to include an update on Staff reviews</p>
	<p>RESOLVED: To note the report.</p>	
12E	<p>FORCE THEMATIC REPORT – CONFIDENCE AND SATISFACTION UPDATE Overall confidence had improved slightly since March 2012 by 0.8% and satisfaction has remained consistent for the past year.</p> <p>The percentage of those who felt the local police do a good or excellent job had fallen, however, the Chief Constable explained that the performance scale was fairly flat and just a few percentage points either way can cause dips or spikes.</p> <p>A Just Think Campaign to improve quality of service issues will be introduced in November and it is hoped that this will increase confidence and satisfaction levels.</p>	
	<p>RESOLVED: To note the report.</p>	
12F	<p>USER SATISFACTION BME BOOSTER SURVEY The report outlined the results from the Black and Minority Ethnic (BME) booster survey.</p>	
	<p>RESOLVED: To note the report.</p>	
12G	<p>BUSINESS CHANGE PROJECT BOARD UPDATE Attached at the Appendix was the Project Summary to July 2013, providing detail on Project Board Membership, go-live date(s), status and comments relevant to each project.</p>	

	RESOLVED: To note the report.	
12H	HEALTH AND SAFETY UPDATE The meeting was updated on matters relating to health and safety legislation, policies, procedures, accident/incident statistical information and horizon scanning as detailed within the report.	
	RESOLVED: To note the report.	
12I	THE BENEFITS OF THE WORK OF VOLUNTARY SECTOR ORGANISATIONS The report provided an update on the benefits of the work of the voluntary sector organisation in support of the police, as detailed within the report.	
	RESOLVED: To note the report.	
12J	RESTORATIVE JUSTICE (RJ) EXTERNAL SCRUTINY PANEL As detailed within the report an external scrutiny panel was introduced in August 2013 which will meet quarterly to review and provide feedback on RJ disposals. Both the Commissioner and Chief Constable were comfortable and content that this Panel has been established.	
	RESOLVED: To note the report.	
12K	HMIC CRIME DATA INTEGRITY INSPECTION 2013-14 The report provided an update on the forthcoming HMIC Crime Data Integrity (CDI) Inspection .	
	RESOLVED: To note the report	
12L	ATTENDANCE MANAGEMENT	

	An update was provided on the current levels of absence and the measures in place to reduce sickness levels.	
	RESOLVED The report was noted.	
12M	JOB RELATED FITNESS TEST OF POLICE OFFICERS Job related fitness tests will be introduced from September 2013 and the senior command team will be one of the first tranche to complete the tests.	
	RESOLVED: The report was noted.	
14A	FINANCE BRIEFING – PERIOD 4 The finance briefing (period 4) was attached at Appendix A, providing an overview of the financial position for the Force.	
	RESOLVED: To note the current financial position	
14B	REVISED CAPITAL PROGRAMME 2013-14 The revised Capital Programme for 2013/14 (attached at Annex A) was presented for information.	
	RESOLVED: To approve the 2013/14 Revised Capital Programme. To change the Prudential Indicator for capital expenditure in 2013/14 from £6.548m to £9.249m in line with changes to the Capital Programme.	

MINUTES of a meeting of the **JOINT, AUDIT, RISK AND ASSURANCE COMMITTEE** held at the Derbyshire Police Headquarters, Butterley Hall, Ripley on 26 September 2013

PRESENT

Miss K Alcock (in the Chair)

Mr M Carrington, Mr S Cook, Ms S Hart and Mr A Salt

No apologies for absence received.

OPCC Present: Mrs H Boffy, Mrs L Kelly,

ACPO Present: Mr T Neaves

Internal Audit: Mr P Green, Ms A Ward

External Audit: Mr J Cornett, Ms T Enticott

17/13 DECLARATIONS OF INTEREST

17.1 No declarations of interest were declared.

RESOLVED:

1. To note that no members declared any personal or prejudicial interests.

18/13 MINUTES OF THE MEETING OF THE JARAC HELD ON 20 JUNE 2013

18.1 The minutes of the meeting of the JARAC held on 20 June 2013 were available for members to confirm.

18.2 At minute 06.6, Mr Carrington asked whether the inclusion of succession planning on the risk register had been investigated. It was agreed that this would be covered upon discussion of the risk register at agenda item 5A.

RESOLVED:

1. That the Minutes of the meeting of the JARAC held on 20 June 2013 be confirmed by the Committee

Mr Mark Euerby, Corporate Risk Manager, was in attendance to provide members with verbal assurance on the management of the risk register. To allow Mr Euerby to contribute and then leave the meeting it was agreed that the agenda be re-ordered to receive Agenda Item 5A 'Force Risk Management 2013-14 Mid Year Review' as the first agenda item.

19/13 FORCE RISK MANAGEMENT - 2013-14 MID YEAR REVIEW

- 19.1 Following the last meeting of the Risk Management Board which met in August 2013, the key risks facing the Force were assessed. The Force currently face 1 risk with high (red) residual scores, 21 with medium (amber) residual scores and 36 with low (green) residual scores, as detailed within the report.
- 19.2 Mr Euerby provided members with an overview of the Risk management process to reassure members on the oversight of risk and the controls in place to mitigate risk.
- 19.3 A discrepancy was noted; the Risk Management Board meet every 4 months, however, the minutes from the previous meeting state 'quarterly'. The minutes will be amended to accurately reflect that the Board meet every 4 months.
- 19.4 Mr Euerby explained that the Force use Orchid Software to manage risk and risk owners are provided with training to be able to manage their risks using this system. Mr Euerby further informed members that any print out of the Risk Register does not provide a full picture as much more detail, narrative and information sits behind each control, layered within the Orchid System.
- 19.5 Members requested some reassurance that the Risk Management Board are able to drill down through the layers and are provided a high level of detail. This reassurance was provided and to add further reassurance one member of the committee was invited to attend the next meeting of the Board in an observational capacity. The committee will decide outside the meeting whether one nominated member should attend or whether each member should attend on rotation, to be agreed.
- 19.6 Members questioned the possibility of using one Risk Register for both the Commissioner and the Chief Constable. Mrs Boffy explained that this had previously been examined, but would be re-visited again as other Commissioner's Officers are now using this system successfully.
- 19.7 To provide a deeper understanding of the complexities of policing and to appreciate how the Force operates, Mr Neaves invited all members to attend the Risk and Threat Seminar taking place in November. Mr Neaves will arrange the invitations.
- 19.8 Members were informed that the Risk Strategy is a key document which clearly explains the risk process. It was agreed that a copy of the Strategy be sent to members under separate cover. Mrs Kelly to arrange this.

- 19.9 Noting the re-scored risks detailed in the report, members queried the significant re-scoring of 'Community Engagement' – previous score 'red', rescored to 'green' (para 4.4 of the report). The committee was informed that this risk had been considered in depth by the Board, receiving inputs from the Community Safety Partnerships and the Divisional Commanders and after considerable debate had agreed to refine the risk and split into two, with the new risk examining specifically a lack of confidence and engagement with New and Emerging Communities (para 4.12).
- 19.10 The new risk (considering New and Emerging Communities) had also been scored green and Mr Neaves provided some explanation of the risk matrix which determines the risk scores, adding that sight of the risk matrix could give members a clearer understanding and oversight of how a risk score is developed.
- 19.11 Despite the explanations and reassurances, members remained un-assured and unable to take confidence that the risk process was being managed effectively. Members requested more detail to be able to understand the rationale for any significant changes and to also understand the scoring process. It was agreed that the Chair, Miss Alcock would discuss with Mrs Boffy and Mr Neaves outside of the meeting an appropriate method of providing the committee with enough information to enable them to take assurance on the management of risk.
- 19.12 In considering the Officer recommendation members could not take assurance that key risks were being pro-actively identified, managed and monitored as the committee felt they did not have the depth of understanding of the process at present. It was agreed therefore to amend the recommendation as follows: "That the committee members' note the mid year review report and take **some** assurance that the key risks are being proactively identified, managed and monitored."
In addition, a second Officer recommendation was proposed and agreed:
"More detailed information was requested on paragraph 4.4 of the report to give more detailed information on how risks are assessed and scored. In addition, to gain a deeper understanding of the process members requested the opportunity to observe the meeting of a Risk Management Board."

RESOLVED:

1. That the committee members' note the mid year review report and take **some** assurance that the key risks are being proactively identified, managed and monitored.

2. More detailed information was requested on paragraph 4.4 of the report to give more detailed information on how risks are assessed and scored. In addition, to gain a deeper understanding of the process members requested the opportunity to observe the meeting of a Risk Management Board.

20/13 HMIC INSPECTION ACTIVITY

- 20.1 The committee were updated on a range of inspection activity since the last report on 20 June 2013, an update on the following was provided at the meeting:
- 20.2 **HMIC Stop and Search Powers: 'Are the police using them effectively and fairly'**
The HMIC made 10 recommendations in their national report, attached at Appendix A. All the reports were aimed at a national level and there were no direct recommendations for Derbyshire Constabulary.
- 20.3 **Policing in Austerity – Rising to the Challenge**
The individual force report showed satisfaction with the plans in place noting that performance had not suffered as a consequence. As noted within the report the HMIC felt more could be achieved in relation to business transformation and collaboration which members' queried as it was understood that Derbyshire had been praised on their collaboration efforts. Mr Neaves informed members that the HMIC assessment includes out-sourcing as a measure and as Derbyshire Constabulary do not out-source Derbyshire would have scored low, in addition some other Forces are close to merging. Derbyshire Constabulary delivered savings and maintained performance and the savings were made in a measured and gradual way, whilst this approach has delivered good performance it may not have been considered 'transformational'.

RESOLVED

1. To note the content of the report.

21/13 ANNUAL GOVERNANCE REPORT

- 21.1 The Annual Governance Report, marked to follow on the agenda, had been sent electronically to members and a hard copy was available at the meeting.
- 21.2 Mr J Cornett external auditor presented the report and highlighted the key messages set out on page 3 of the report. Mr Cornett congratulated the finance team in producing an excellent set of working papers, he commended their efforts set against a back drop

of inadequate and contradictory guidance and praised their professionalism to work around this.

21.3 The Auditor gave his Value for Money conclusion on the arrangements for securing economy, efficiency and effectiveness in the use of resources which is informed by their work to assess performance against criteria specified by the Audit Commission. The Auditor concluded that there are no matters arising from the VFM work in 2012/13.

21.4 Miss K Alcock, thanked Mr Neaves, the finance team and Mrs Boffy for their work in preparing the accounts.

RESOLVED:

1. The Annual Governance Report was received and its contents discussed.

Members agreed to receive the Statement of Accounts as the next item on the agenda.

22/13 STATEMENT OF ACCOUNTS 2012/13

23.1 The Statement of Accounts for the financial year ended 31 March 2013 marked to follow on the agenda, had been sent electronically to members and a hard copy was available at the meeting.

23.2 It was highlighted that this was the first year that these accounts had been produced in this format following the inception of Police and Crime Commissioners in November along with the creation of a separate Chief Constable organisation sole. Unfortunately there had been a lack of national guidance in producing the accounts, however, the approach followed by the Finance staff had been broadly accepted.

23.3 Since the review in June of the draft accounts and annual governance statements of the Chief Constable and the Commissioner some minor changes had been made and these minor amendments were highlighted and explained.

23.4 Following the meeting, the Chief Constable and the Commissioner will sign the accounts, prior to the external auditors issuing their opinion by the end of the month. The Statement of Accounts must then be published on the Commissioner's and the Chief Constable's website on 30 September.

RESOLVED:

1. Members were provided with a final overview of the Statement of Accounts as set out within the report to provide assurance to the

Chief Constable and the Police and Crime Commissioner prior to them signing their statements of accounts.

23/13 **LETTERS OF REPRESENTATION**

- 22.1 The Letters of Representation for the Police and Crime Commissioner (Appendix A) and the Chief Constable (Appendix B) marked to follow on the agenda, had been sent electronically to members and a hard copy was available at the meeting for members to note.
- 22.2 As contained within the report the Letter of Representation confirmed that:-
- All appropriate processes have been followed in compiling the accounts.
 - There have been no significant post balance sheet (ie post 31/3/2013) events that impact on the financial position set out within the accounts.
 - That the auditors have been given full access to accounting records and other associated information during their audit.
 - That all relevant disclosures have been made as part of the process i.e., of any fraudulent activity.
- 22.3 Following the meeting, both the Police and Crime Commissioner and the Chief Constable will sign these letters along with their respective Chief Finance Officer.

RESOLVED:

1. The Letters of Representation for the Police and Crime Commissioner (Appendix A) and Chief Constable (Appendix B) were noted.

24/13 **INTERNAL ADUIT PROGRESS REPORT**

- 24.1 The latest progress report for September 2013 was attached at Appendix A to the report.
- 24.2 As detailed within the report, a high level review of the Agresso system upgrade had been undertaken. The Opinion was advisory (satisfied that actions are taking place) due to a number of software issues experienced with the upgrade.
- 24.3 Mr Neaves explained that some software problems remain and a healthcheck review of the Agresso system has been scheduled to take place in October and negotiations will take place to reduce any costs incurred through system support.

24.4 A review of all audit reports will be provided to members at the next meeting of the committee in December.

RESOLVED:

1. The presentation and progress report was received and the auditors were questioned as appropriate.

Mr P Green and Ms A Ward left the meeting

25/13 VERBAL REPORT ON INTERNAL AUDIT CONTRACT

25.1 Mrs Boffy explained to members that six Police Authorities (formerly) / PCC Offices share RSM Tenon under a regional contract (five East Midlands Forces, plus Bedfordshire). As the Lincolnshire contract expires one year later than the other five contracts, it was proposed to extend the Derbyshire contract for one year so that it can go out for a renewal of regional contract in 2015.

RESOLVED:

1. The verbal update was noted.

26/13 NCRS AND NSIR COMPLIANCE REPORT

26.1 The report provided members with an overview of two key data measures; NSIR and NCRS contained at Appendix A and Appendix B explained why and how Derbyshire Constabulary assesses compliance with the standards in a proportionate risk based manner.

26.2 Data was contained within appendices C, D and E which outlined the main results of audits conducted on crime and incident data for April to June 2013 (not January to April 2013, as contained within the report).

RESOLVED:

1. To note the report.

REVIEW OF ACTIONS

Decision No.	Agenda Item	Report Title and Action Required	Responsible Officer	Progress
STRATEGIC GOVERNANCE BOARD – 24 JUNE 2013				
	13D	HEALTH AND SAFETY UPDATE Clarity sought as to whether the recommendations contained within the Review have been adopted.	Constabulary	Being Progressed.
	13F	USE OF RESTORATIVE JUSTICE IN DERBYSHIRE CONSTABULARY An Annual Report on Restorative Justice to be presented to the Board in May/June Update reports on the DAPS Scheme and Research to be presented to the Board in due course.	ACC Collins T/ACC Smethem	Due May/June 2014 Information on this agenda (12C)
STRATEGIC GOVERNANCE BOARD – 22 JULY 2013				
	13A	RAPE SCRUTINY PANEL – REPORT BY THE CHAIR OF THE RAPE SCRUTINY PANEL		
		The Chair of the Rape Scrutiny Panel to report to the SGB after every 3 rd meeting of the panel	OPCC	December Agenda
STRATEGIC GOVERNANCE BOARD – 16 SEPTEMBER 2013				
	6	A meeting between The Chief Constable, DCC Goodwin, David Peet and Helen Boffy be arranged to discuss the reports prepared in line with the Forward Plan	David Peet	COMPLETE Meeting held on 23 September
	7A	Send letter of thanks to Independent Custody Visitors	OPCC	In hand

	11B	Arrange for the Commissioner to visit Derby Custody Suite.	PCC	Visit arrangements being progressed
	12A	A detailed report on Rape and Sexual Offences be prepared for a future meeting of the Board	Constabulary	For next report due in April 2014
	12B	To explore further the issue of consistent alcohol related crime recording	Constabulary	To be agreed
	12C	Analysis on the Fatal Four to be incorporated in future KSI Reports.	Constabulary	For next report due in July 2014
	12D	A further CCMC update report to be presented to the Board in December to include an update on staff reviews	Constabulary	For next report due in December 2013

Strategic Governance Board 4 Month Forward Plan		
Date of Meeting	Finance	Performance and Other Issues
14-Oct-13	<p>Finance (Period 5) & Resources</p> <p>Force - monitor capital programme delivery and expenditure against budget Force - estates update setting out progress on key capital schemes Force - monitor and report revenue spend against profiled budget including a predictive out-turn position and explanations of major variances Force - Estates Services and PFI Financing Quarterly Update PCC - Report funding decisions (if any)</p>	<p>Chief Constable's scorecards Force Thematic Report –Proceeds of Crime Force Thematic Report - Domestic Abuse</p>
11-Nov-13	<p>Finance (Period 6) & Resources</p> <p>PCC & Force - Budget Strategy 2013-2017 PCC & Force - Review of Reserves and Reserves Strategy 2013-2017 (I) PCC & Force - Medium Term Financial Plan (MTFP) (I) PCC & Force - Review of Property and Assets Force - Treasury Management Update Force - monitor capital programme delivery and expenditure against budget Force - estates update setting out progress on key capital schemes Force - monitor and report revenue spend against profiled budget including a predictive out-turn position and explanations of major variances Force - Annual report on Procurement 2012/13</p>	<p>Chief Constable's scorecards Force Thematic Report - Acquisitive Crime & Offender Management PCC & Force Thematic Report - Reoffending (Partnership Report) Force Thematic Report - Drugs Force Report - Professional Standards Force - Updates on Criminal Justice (includes reports on RJ and Victims/Witnesses) Force - Living Wage PCC – Community Engagement Plan PCC – Your Police Your Views Results PCC- Have Your Say Results</p>

Strategic Governance Board 4 Month Forward Plan		
Date of Meeting	Finance	Performance and Other Issues
	PCC - Report funding decisions (if any)	
9-Dec-13	<p>Finance (Period 7) & Resources</p> <p>PCC & Force - Review of Reserves and Reserves Strategy 2013-2017 (II) PCC & Force - Medium Term Financial Plan (MTFP) 2013-2017 (II) PCC & Force - Revised Revenue Budget 2013/14 PCC & Force - Approve the Budget Strategy 2013-2016 as basis for financial planning a risk based budget: joint report of the PCC CFO & CC CFO Capital Budget 2013-16 PCC & Force - Approve a four year Capital Strategy Force - monitor capital programme delivery and expenditure against budget Force - estates update setting out progress on key capital schemes Force - Information Services (IS) Quarterly Update PCC - Report funding decisions (if any)</p>	<p>Chief Constable's scorecards Force Thematic Report - Workforce Report (includes staff numbers, sickness, equality & diversity) Force - Updates on Criminal Justice (includes reports on RJ and Victims/Witnesses) Force - Quarterly Project Board Update Force – Contact Management Centre – Update Report Revised Draft Code of Practice for Victims of Crime PCC – Rape Scrutiny Panel Report</p>
27-Jan-14	<p>Finance (Period 8) & Resources *** Police and Crime Plan & Budget *** PCC & Force - Approve the Police and Crime Plan 2014-2018 PCC & Force - Approve the Budget Strategy 2014-2017 as basis for financial planning a risk based budget: joint report of the PCC CFO & CC CFO Capital Budget 2014-17</p>	<p>Chief Constable's scorecards Force Thematic Report - Domestic Abuse</p> <p>PCC - ICV/Animal welfare stats & findings & any current issues PCC - FOI report</p>

Strategic Governance Board 4 Month Forward Plan		
Date of Meeting	Finance	Performance and Other Issues
	Revenue Budget and Precept 2014-15 PCC & Force - Approve Prudential Indicators, Minimum Revenue Provision, Treasury Management and Investment Strategy Force - monitor capital programme delivery and expenditure against budget Force - estates update setting out progress on key capital schemes Force - monitor and report revenue spend against profiled budget including a predictive out-turn position and explanations of major variances Force - Estates Services and PFI Financing Quarterly Update PCC - Report funding decision (if any)	

STRATEGIC GOVERNANCE BOARD

14 OCTOBER 2013

REPORT OF THE CHIEF EXECUTIVE

7A: STRATEGIC GOVERNANCE BOARD MEETINGS 2014

1. PURPOSE OF THE REPORT

- 1.1 For the Commissioner and the Chief Constable to consider and agree the draft timetable of meeting dates (Appendix A) and draft reporting schedule (Appendix B) for the Strategic Governance Board until December 2014.

2. INFORMATION AND ANALYSIS

- 2.1 Continuing the same format as the previous year the meetings of the Strategic Governance Board will take place every four weeks (approximately) on a Monday afternoon starting at 2pm. The draft timetable until December 2014 is attached at Appendix A for consideration and approval.
- 2.2 The main focus of the meetings will be around Performance and Finance & Resources, the meetings will also be supplemented by the scrutiny of other areas of Strategic importance.
- 2.3 Attached at Appendix B is a draft reporting cycle highlighting all non finance reports to be presented to the Board in 2014. The report content will give reassurance as to how the Force are mitigating the 15 strategic risks and threats identified at the Strategic Risk Briefing, it will cover those issues raised in the Commissioner's manifesto and any other significant issues for the Force helping them demonstrate how they are meeting their commitments against the objectives set out in the Police and Crime Plan.

3. RECOMMENDATIONS

- 3.1 To consider and agree the meeting dates as set out in the timetable attached at Appendix A.
- 3.2 To consider and agree the Draft Reporting Cycle attached at Appendix B.

3. IMPLICATIONS

All implications are assessed and scored to the table below.

HIGH – supporting explanation and narrative required and to be contained within the report

MEDIUM – narrative to be contained within the report at the discretion of the author

LOW – no narrative required

	LOW	MEDIUM	HIGH
Crime & Disorder		X	
Environmental	X		
Equality & Diversity	X		
Financial	X		
Health & Safety	X		
Human Rights	X		
Legal	X		
Personnel	X		

Contact details in the event of enquiries	<p>David Peet, Chief Executive</p> <p>External telephone number: 03001226021</p> <p>David.peet.16406@derbyshire.pnn.police.uk</p>
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Attachments

- Appendix A. Meetings Timetable
- Appendix B. Draft Reporting Cycle

**STRATEGIC GOVERNANCE BOARD MEETINGS
 FOR 2014**

All meetings will be held on a Monday afternoon between 2pm and 4pm.
 Meetings to be held in the Reception Room, Police HQ, Butterley Hall, Ripley.

Date	Comments
27 January 2014	Meeting date already set
17 February 2014	Meeting date already set
17 March 2014	Meeting date already set
14 April 2014	21 April is Easter Monday
19 May 2014	
30 June 2014	'Subject to Audit Accounts' as late as possible in June
28 July 2014	First week of school summer holidays
<i>August 2014</i>	<i>No meeting</i>
15 September 2014	
29 September 2014 (tentative)	For Final Accounts (post audit)
20 October 2014	
17 November 2014	
15 December 2014	

New schedule for Reports to the Strategic Governance Board from January 2014

Police & Crime Plan (PCP) Objectives

- | | |
|--|---|
| <ol style="list-style-type: none"> 1. Support victims and witnesses 2. Strong and effective partnership working 3. Keep people, especially the most vulnerable, safe from harm, ASB & criminal activities | <ol style="list-style-type: none"> 4. Support local policing 5. Continual improvement in performance 6. Alcohol related crime and harm |
|--|---|

Month	Thematic Reports (Police & Crime Plan Objectives)	Other non-finance reports
(every month)	Chief Constable's Scorecards (5)	
January	Restorative Justice (1,2,3) Stop & Search (1) Hate Crime (1)	JARAC
February	Acquisitive Crime & Offender Management (2,3,5) Reoffending (2,3,6)	Health & Safety Report (Sep-Dec)
March	Contact Management (1) Confidence & Satisfaction (1)	Quarterly Project Board update
April	Domestic Abuse & DAPS (2,3) Rape & Serious Sexual Assault (includes MAPPA, RSOs) (2,3)	JARAC Rape Scrutiny Panel
May	Safeguarding Children (2,3) Safeguarding Adults (2,3)	PSD Report
June	Gun & Knife Crime (3) Organised Crime Groups (includes POCA) (3) Terrorism & Domestic Extremism (3)	Quarterly Project Board update Health & Safety Report (Jan-Apr)
July	Killed & Seriously Injured Road Collisions (2,3) Wildlife Crime & Cruelty to Animals (2,5)	PCC Annual Report (includes ICV/Animal Welfare) JARAC
<i>August</i>	<i>No meeting</i>	<i>No meeting</i>
September	Contact Management (1) Cyber Crime (3) Economic Crime (3)	Quarterly Project Board update
October	Troubled Families (3,6) Anti-social Behaviour (1,3,4,6)	JARAC Rape Scrutiny Panel Health & Safety Report (May-Aug)
November	Community Policing (2,4) New & Emerging Communities (4) Workforce (staff numbers, sickness, equality & diversity) (4)	PSD Report
December	Alcohol Related Harm (2,6) Drugs (2,6)	Report from Annual Strategic Risk Briefing Quarterly Project Board update

CHIEF CONSTABLES PERFORMANCE PANEL

PERFORMANCE REPORT - DCC GOODWIN

HUMAN RESOURCES

FORCE PERSONNEL	Unit	Time period covered	Headcount This Year	Headcount Outturn 2012/13	FTE This Year	FTE Outturn 2012/13	Direction of Travel
Total Number of Police Officers	Volume	As at 1st Sep 2013	1926	1960	1884.6	1917.1	
Number of Police Staff excl PCSOs	Volume	As at 1st Sep 2013	1441	1429	1230.6	1218.4	
Number of PCSOs	Volume	As at 1st Sep 2013	176	186	171.2	182.7	

SICKNESS	Unit	Time period covered	Standard	This Year	Outturn 2011/12	Change
Police Officer Sickness	Working days lost	12 months ending Jul '13	8.20	8.96	7.39	
Police Staff Sickness	Working days lost	12 months ending Jul '13	8.20	7.69	6.88	

CONFIDENCE AND SATISFACTION

INDICATOR	Unit	Time period covered	This Year to Date	2012/13 Outturn	2011/12 Outturn	Direction of Travel	Region (5)	E&W (43)
% of people who have confidence in the police in their local area	%	12 months to Mar '13	74.7%	n/a	73.9%		2nd	22nd
% of victims satisfied with the overall service provided by the police	%	Apr to August '13	87.9%	87.0%	87.3%		2nd	20th

COMPLAINTS

INDICATOR	Unit	Time period covered	This Year to Date	2012/13	Direction of Travel
Number of complaints recorded	Volume	Apr to Aug '13	191	252	
Number of allegations recorded	Volume	Apr to Aug '13	301	453	
Number of complaints which were suitable for local resolution	Volume	Current N/A	N/A	N/A	N/A
Number of complaint investigations more than 90 days old	Volume	Apr to Aug '13	38	30	
% of complaints recorded in 10 days	%	Apr to Aug '13	97%	91%	
% of complaints finalised in period which were upheld	%	Apr to Aug '13	13%	8%	

SOURCE INFORMATION
Human Resources information is from Origin HR. Confidence information is from CSEW User Satisfaction data is from USAT database

KEY	
	Increasing
	Stable
	Decreasing

SPECIFIC DETAILS
Police officer information excludes all officers that are seconded, on regional units or on a career break. Direction of travel is based on FTE and not headcount where applicable.
The ranking data for confidence (line 6) for the Region and England & Wales is based upon the latest available national data for the 12 months ending March 2013.
The ranking data for user satisfaction (line 7) for the Region and England & Wales is based upon the latest available national data for the 12 months ending June 2013.
The previous years information for complaints related to the same period of 2011/12 and not the outturn.

COMMENTS
Sickness continues to increase month on month. Police officer sickness is now considerably higher than the desired threshold of 8.2 working days lost per person. Police staff sickness is increasing but remains below the threshold.
Despite a slight fall in User Satisfaction in the latest month, overall performance continues to see considerable improvements currently stands at 87.9% which is above the outturn of 2012/13. Confidence has also improved in the latest quarter and is above the outturn of 2012/13.

CHIEF CONSTABLES PERFORMANCE PANEL
PERFORMANCE REPORT - ACC SMETHEM

	RECORDED CRIME	Unit	Time period covered	This Year to Date		2012/13 Outturn		2011/12 Outturn		Direction of Travel	Region (5)	E&W (43)
				% Change/volume		%		%				
1	Total Recorded Crime	% Change/volume	01/04/2013 - 12/09/2013	2.8%	658	-16.2%	-9,911	-6.4%	-4,176	↑	n/a	n/a
2	Stealing Offences	% Change/volume	01/04/2013 - 12/09/2013	8.1%	987	-13.6%	-4,111	-2.0%	-613	↑	n/a	n/a
3	Violence Against the Person	% Change/volume	01/04/2013 - 12/09/2013	-7.5%	352	-14.2%	-1,620	-13.1%	-1,728	↓	n/a	n/a
4	Criminal Damage	% Change/volume	01/04/2013 - 12/09/2013	5.5%	212	-23.8%	-2,735	-9.1%	-1,158	↑	n/a	n/a
5	Rape & Sexual Offences	% Change/volume	01/04/2013 - 12/09/2013	25.4%	85	-6.7%	-58	-22.6%	-254	↑	n/a	n/a
6	Gun Crime Offences	% Change/volume	Apr to Aug '13	-10.3%	35	-32.1%	39	-14.5%	53	↓	3	30
7	Knife and Sharp Instrument Offences	% Change/volume	Apr to Jun '13	-1.5%	65	-1.5%	66	-36.8%	67	↓	2	22
8	Drug offences	% Change/volume	01/04/2013 - 12/09/2013	2.4%	30	-3.4%	-94	+5.9%	+155	↑	n/a	n/a
9	Domestic Violence Offences	% Change/volume	01/04/2013 - 12/09/2013	2.2%	49	+8.0%	+365	-1.5%	-70	↑		
10	Racially and Religiously Aggravated Offences	% Change/volume	01/04/2013 - 12/09/2013	5.4%	10	-9.0%	-40	-17.7%	-95	↑	n/a	n/a

	CRIME INVESTIGATION	Unit	Time period covered	This Year to Date		2012/13 Outturn		2011/12 Outturn		Direction of Travel	Region (5)	E&W (43)
				SDR	POR	SDR	POR	SDR	POR			
11	All Crime Investigation	Rate	01/04/2013 - 12/09/2013	25.8%	34.2%	27.0%	36.0%	27.1%	36.6%	↓	n/a	n/a
12	Restorative Disposal Rate	Volume	01/04/2013 - 12/09/2013	8.4%	n/a	9.0%	n/a	9.5%	n/a	↓		
13	Stealing Offences Investigation	Rate	01/04/2013 - 12/09/2013	16.4%	21.5%	17.6%	22.4%	18.1%	23.2%	↓	n/a	n/a
14	Violence Against the Person Investigation	Rate	01/04/2013 - 12/09/2013	37.2%	57.3%	37.2%	59.1%	38.4%	61.8%	↓	n/a	n/a
15	Criminal Damage Investigation	Rate	01/04/2013 - 12/09/2013	11.6%	22.1%	13.2%	23.2%	12.6%	22.6%	↓	n/a	n/a
16	Rape & Sexual Offences Investigation	Rate	01/04/2013 - 12/09/2013	27.4%	28.3%	30.2%	32.5%	29.4%	32.0%	↓	n/a	n/a
17	Drug Offences Investigation	Rate	01/04/2013 - 12/09/2013	90.4%	92.7%	92.4%	93.4%	94.4%	95.1%	↓	n/a	n/a
19	Domestic Violence Investigation	Rate	01/04/2013 - 12/09/2013	41.7%	56.7%	41.0%	57.9%	42.5%	61.9%	↓		

18	Racially and Religiously Aggravated Crime Investigation	Rate	01/04/2013 - 12/09/2013	45.1%	54.4%	46.1%	58.1%	49.9%	60.3%		n/a	n/a
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ANTI-SOCIAL BEHAVIOUR		Unit	Time period covered	This Year to Date		2012/13 Outturn		2011/12 Outturn		Direction of Travel
20	Anti-Social Behaviour Incidents	Rate	01/04/2013-12/09/2013	-5.8%	-1,470	-8.1%	-5,042	-7.1%	-4,830	

SOURCE INFORMATION
All indicators included within this scorecard have information sourced from both Guardian for Force information and IQuanta for comparative information

KEY
 Increasing/decreasing BUT improving
 Stable
 Increasing/decreasing BUT deteriorating

SPECIFIC DETAILS
The volume of gun crime offences and knife crime offences and restorative disposals (lines 6 and 7) shown in the 2010/11 and 2011/12 outturn columns are based on the same period of the previous year and not the whole of year in question.
The direction of travel alert for the crime investigation indicators (lines 11, 13-19) is based upon the Positive Outcome Rate (POR) in comparison to the previous year.
Due to the continued issues with iQuanta, national and regional comparisons for crime and detection are currently unavailable. Knife and Gun crime national and regional comparisons are for the period 12 months ending March and June 2013 respectively.
Of the firearms offences, 10 were classified as actual firearms, 2 were imitation firearms, and 21 were air weapons and there was two other types of firearms.

COMMENTS
The majority of crimes types have seen an increase in relation to the previous year. The only exceptions to this is violence against the person offences.
All crime types are now seeing falls in the positive outcome rate.
Both gun and knife crime are now seeing some reductions compared to the previous year however numbers are generally in line with previous years with not a substantial amount of fluctuation.
During the period covered, the firearms offences were predominantly related to air weapons. Only ten were classed as actual firearms. No injuries was sustained in any of the offences where the weapon was classed as a firearm.
The number of ASB incidents recorded continues to fall.

CHIEF CONSTABLES PERFORMANCE PANEL

PERFORMANCE REPORT - ACC COLLINS

CONTACT MANAGEMENT

INDICATOR	Unit	Time period covered	This Year to Date	2012/13 Outturn	Direction of Travel	Region (5)	E&W (43)
1 % 999 calls within 15 seconds	%	Apr to Jul '13	95.5%	91.6%		n/a	n/a
2 % 999 calls abandoned	%	Apr to Jul '13	0.9%	1.7%		n/a	n/a
3 % non-999 calls answered within 60 seconds	%	Apr to Jul '13	80.6%	91.5%		n/a	n/a
4 % non-999 calls abandoned	%	Apr to Jul '13	6.6%	3.4%		n/a	n/a

OPERATIONAL SUPPORT

INDICATOR	Unit	Time period covered	This Year to Date	2012/13 Outturn	2011/12 Outturn	Direction of Travel
5 No of fatal/serious road traffic casualties	Volume	12 months ending Apr 13	366	443	406	
-Of which were fatal road traffic collisions	Volume	12 months ending Apr 13	20	38	31	
6 Number of firearm deployments	Volume	Apr to Aug '13	68	115	97	
7 Number of taser deployments	Volume	Apr to Aug '13	162	157	116	
8 Number of helicopter deployments for Derbyshire incidents	Volume	Apr to Jun '13	257	219	n/a	
9 Number of helicopter deployments for Nottinghamshire incidents	Volume	Apr to Jun '13	344	329	n/a	
10 Number of helicopter deployments for other force incidents	Volume	Apr to Jun '13	11	22	n/a	

SPECIAL CONSTABULARY

FORCE PERSONNEL	Unit	Time period covered	Headcount This Year	Headcount Outturn 2011/12	FTE This Year	FTE Outturn 2011/12	Direction of Travel
11 Number of Specials	Volume	As at 1st Sept '13	288	373	n/a	n/a	
12 % of active Specials committing 4 hrs per week or more	%	03/06/2013 - 01/09/2013	57.8%	n/a	n/a	n/a	

13 Specials FTE per week	Volume	03/06/2013 - 01/09/2013	n/a	n/a	24.1	n/a	
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CRIMINAL JUSTICE

INDICATOR	Unit	Time period covered	This Year	2012/13	Direction of Travel
14 Number of Arrests	Volume	Apr '13 to Aug '13	8600*	9000*	
15 No Further Action to Charge Ratio	Ratio	Apr to July '13	2.5	1.4	
16 Number of outstanding warrants	Volume	As at 31st August 2013	262	252	
17 Number of speed awareness courses	Volume	Apr to Aug '13	4405	6289	

SOURCE INFORMATION
Data from Contact Management Department. RTC information is sourced from the accidents database. Special Constabulary information is sourced from HR.

KEY
 Increasing/decreasing BUT improving
 Stable
 Increasing/decreasing BUT deteriorating

SPECIFIC DETAILS
Volumes of data shown in the 2010/11 and 2011/12 outturn columns for lines 7-10 are based on the same period of the previous year and not the whole of year in question.
Line 12: An active Special Constable is defined as any Special Constable that has committed any hours within the last 13 weeks (standard quarter) of the period covered.
Line 13: The Specials FTE is based on the average number of weekly hours committed by specials during the period. This value is equivalent to the number of police officers that would be required to perform that number of hours.
*Line 14: These figures are based on an estimate of arrest information collated from previous records from NSPIS and also GEM. Current arrest figures are sourced from GEM Case and Custody. This system is currently still under testing and therefore the figures provided cannot currently be verified as an accurate record. Previous year figures relates to the same period of 2012/13
Line 15: Previous years information is at present unavailable.
Line 17: This data includes the number of people who are caught speeding within Derbyshire and opt for the course.

COMMENTS
Contact Management performance continues to see improvements in performance in relation to 999 calls in comparison to the previous year. As a result, the ease of contact diagnostic from user satisfaction surveys has also seen an uplift. Performance in relation to non-999 calls has fallen dramatically within the last two months due to IT and staffing issues. Nationally, July was the highest call volume within a month for 5 years.
Previous years information is currently not available in relation to special constables hours. This is due to recording changing to a new system 'Duty Sheet' at the end of May 2013. This system allows special constables to record their hours and duties via the internet from home. Use of this system is already showing an uplift compared to the previous timesheet submissions.

STRATEGIC GOVERNANCE BOARD
14 OCTOBER 2013
REPORT OF THE CHIEF CONSTABLE

12B: POCA/FINANCIAL INVESTIGATION

1. PURPOSE OF THE REPORT

- 1.1 The purpose of this report is to highlight the work conducted within Force with regards to Financial Investigation, as requested by the Strategic Governance Board. In preparing this report, all appropriate statistics that relate to the last three financial years have been taken into consideration.

2. INFORMATION AND ANALYSIS

- 2.1 The Economic Crime Unit collates data in respect of all relevant aspects of financial investigation work that is conducted within Force. From this data, the following performance figures are produced with regards to cash seizures, restraint and confiscation work;

Number and Value of Cash Seizures made		
Year	Number	Value
2012-2013	77	£587,697
2011-2012	98	£752,807
2010-2011	85	£314,833

Number and Value of Forfeiture Order imposed (Cash Seizures)		
Year	Number	Value
2012-2013	62	£273,886
2011-2012	74	£322,395
2010-2011	81	£378,871

Number and Value of Restraint Orders imposed		
Year	Number	Value
2012-2013	16	£585,072
2011-2012	22	£4,557,389
2010-2011	30	£4,207,122

Number and Value of Confiscation Orders imposed

Year	Number	Value
2012-2013	65	£1,034,897
2011-2012	68	£524,043
2010-2011	116	£1,242,797

2.2 Two charts have been prepared, displaying the above data. The first chart identifies the volume of all cases (see Appendix 'A') whilst the second chart displays the values of all cases (see Appendix 'B').

2.3 It should be noted that the numbers and the values of the cases in respect of cash seizures, restraints and confiscation orders all overlap from year to year. Cases are never guaranteed of coming to fruition during the same year the investigation commenced with the more complex investigations sometimes taking years to complete.

National Incentivisation Scheme

2.4 As a result of the National Incentivisation Scheme, a percentage of monies that are forfeited under the cash seizure provisions or confiscated under the Proceed of Crime Act legislation are returned to the Force via the Home Office. The government retain 50% of all monies that are forfeited and confiscated. The remaining 50% is then available for incentivisation.

2.5 The Force is responsible for all actions undertaken in respect of cash seizure work including all applications that are made before the Magistrates Court and the payments of all forfeited monies to the Home Office. Having retained 50% of all monies that are forfeited under the provisions of the cash seizure legislation, the Home Office then pay the remaining 50% of the forfeited monies back to Force. This is not the same with monies that are confiscated. Unlike the cash seizure proceedings, confiscation is dealt with at the Crown Court and the Crown Prosecution Service and HM Courts have a part to play in the proceedings. The 50% of all confiscated monies that are available from the Home Office are then shared between the CPS, HM Courts and the Police with the Force receiving an actual payback of 18.75% of all monies confiscated.

2.6 Over the past three years the following monies have been paid back to the Force under the National Incentivisation Scheme;

Incentivisation Monies received by the Force	
Year	Value
2012-2013	£257,643
2011-2012	£243,100
2010-2011	£328,120

Financial Planning

2.7 During the past three years Force financial planning has taken into consideration the potential monies that could be received from the Home Office via the National Incentivisation scheme. As a result of this planning, the Force has budgeted annually for incentivisation monies in the sum of £200,000 in respect of each of the last three years.

The Financial Team - Force Contribution

2.8 The Force Financial Investigation Teams currently consists of two units. The South Team, covering Derby Division, is made up of one Detective Sergeant, three Police Constable and two Police Staff Financial Investigators. The North Team, covering Buxton and Chesterfield Divisions, is staffed by one Police Staff Manager, three Police Constable and two Police Staff Financial Investigators. With ‘ongoing costs,’ the total costs of the staffing levels of both teams for the current financial year 2013/2014 will amount to £576,382.

2.9 Prior to November 2012, the Financial Investigation formation for the Force consisted of three separate teams;

- The North Financial Investigation Team
- The South Financial Investigation Team
- The Central Financial Investigation Unit.

(The North and South Financial Investigation Teams were previously named Proceeds of Crime Investigation Teams or POCITs)

2.10 In basic terms, the North and South POCITs were responsible for providing financial support for all front line officers, reviewing all crime files for restraint and confiscation work, referring all suitable restraint and confiscation cases to the Central Financial Investigation Unit and pursuing cash seizure work. The Central Financial Investigation Unit was responsible for all restraint work, confiscation investigation, Consent Reports and cash seizure work. Further information concerning Consent Reports is highlighted at paragraph 2.26.

2.11 The Financial Investigation Team formation, prior to November 2012, consisted of two Detective Sergeants, one Police Staff Manager, eight Constables, five Police Staff Financial Investigators and one Administration Officer (part time). The cost of the Financial Investigation arm of the Economic Crime Unit was significantly greater prior to November 2012. With the transfer of financial investigators to the East Midlands Region during November 2012, the Central Financial Investigation Unit was disbanded and the two new Financial Investigation Teams were formed. The cost of running the Force Financial Team was therefore reduced. It should be noted that the two Financial Investigation Teams that are currently established within Force have the responsibility for conducting all the duties that were previously undertaken by the three Teams (see paragraph 2.27).

Derbyshire Contributions to Financial Investigation Teams	
Year	Value

2013-2014	<u>£576,382</u>
2012-2013	£705,835
2011-2012	£798,303
2010-2011	£798,303

A chart has been prepared to evaluate the data in respect of;

- The incentivisation monies received by the Force
- The amount of potential incentivisation monies budgeted annually
- The Force contribution to the Financial Investigation Teams

Please see the chart attached to this report (see Appendix 'C').

The use of the Incentivisation Monies within Force

- 2.12 All monies received from the Home Office via the National Incentivisation Scheme have been used to offset part of the costs of resourcing the Economic Crime Unit, apart from certain amounts that have been utilised in the Force's own incentivisation scheme.
- 2.13 Throughout the past three years the Force has operated its own incentivisation scheme and funds have been used from the monies received via the Home Office and allocated to the three BCU Commanders. Payments have been granted, based upon Divisional performance in the areas of financial investigation work. The monies are provided to the Divisions with the purpose of driving up performance around the use of financial investigation and to also aid local crime-fighting priorities.
- 2.14 With regards to the financial year 2012/2013, a total of £80,000 was allocated from the monies received from the Home Office for use within the Force incentivisation scheme. For the years 2010/2011 and 2011/2012, an annual sum of £50,000 has been allocated to the Divisions. Authority to allocate these monies has been granted by ACC (Crime and Territorial).

Success Rate – Confiscation

- 2.15 During the past three financial years the Financial Investigation Team has conducted confiscation investigations that have resulted in the preparation and submission of a total of 379 cases for the purpose of pursuing confiscation at Court. From the completed confiscation cases, a total of 249 successful confiscation orders have been imposed by the Courts. This reveals a success rate of 66% with regards to all confiscation cases prepared.
- 2.16 There are a number of reasons for the failure in certain cases to successfully achieve confiscation. It should be noted that the work into the majority of confiscation cases starts at the commencement of the criminal investigations. This is necessary in order to establish criminal benefit and identify assets available for confiscation purposes, essential areas of work when progressing confiscation through the Courts. It is also vital that confiscation work commences at the earliest stage of a criminal investigation as possible, in

order to identify assets and apply restraint, preventing the dissipation of those assets and preserving them for the purpose of confiscation. It therefore follows that the majority of confiscation cases have been prepared prior to conviction for the criminal offences that have triggering the confiscation case. If the criminal case is unsuccessful, for whatever reason, then the confiscation case naturally fails. Confiscation can only be applied once a person has been convicted of a suitable criminal offence.

- 2.17 Confiscation cases also fail when a person is convicted of a lesser offence that was originally charged. For example, a person may be charged with an offence of possession of controlled drugs with intent to supply. This offence triggers confiscation and under these circumstances a confiscation case would be prepared. If, however, that person ends up being convicted of the lesser offence of the simple possession of controlled drugs, not an uncommon occurrence, then confiscation would fail as simple possession is not an offence that attracts confiscation.
- 2.18 It should be noted that confiscation is only pursued in cases that are suitable for confiscation and only progressed in cases that merit such action. It is crucial that confiscation investigations commence at the earliest opportunity of a criminal investigation. The failure in achieving a successful criminal conviction in any case will always thwart confiscation. To improve the success rate in the areas of confiscation is reliant upon the progression of good criminal cases by the Force where criminal benefit is significant and realisable assets are available. Improvement in the volume and value of confiscation is also dependant upon an increase in the numbers of appropriate criminal cases, suitable for confiscation action, that are pursued by the Force.

Types of Offences that Produce Confiscation and Forfeiture Orders

- 2.19 With regards to the types of criminal offences that have resulted in securing a positive confiscation outcome, from the statistics that has been retained it is possible to identify the basic category of the offences. The offences are classed under three specific headings;
- Drugs Offences (drug trafficking, supply, production, possession with intent to supply)
 - Theft Offences (theft, fraud, burglary, deception, blackmail)
 - Money Laundering Offences (concealing and arrangements).
- 2.20 Examination of the data that has been collated over the past three financial years reveal that 61% of all the confiscation orders that have been imposed relate to drugs offences (153 cases), 35% relate to theft (88 cases), whilst 4% relate to money laundering (10 cases).
- 2.21 Cash seizure cases are civil actions that are taken against the actual money in question, not criminal action against the person(s) found in possession of the cash. Therefore no specific data has been retained with regards to the

circumstances surrounding the actions that triggered the cash seizure investigations.

Reactive and Proactive Investigations

- 2.22 From the data that has been retained in respect of confiscation achievements, it is possible to identify all the planned operations that have been pursued within Force, providing a breakdown of all the reactive and proactive investigations. This type of data has not been retained in respect of cash seizure work and therefore such statistics are not available.
- 2.23 It should also be noted that the data that has been retained does not break down the circumstances of each investigation to such fine detail as to reveal the results emanating from submitted intelligence or stop searches.

Confiscation Orders imposed – Planned Operations		
Year	Number	Value
2012-2013	17	£328,376
2011-2012	2	£290,180
2010-2011	28	£710,824

Confiscation Orders imposed – Reactive Investigations		
Year	Number	Value
2012-2013	48	£706,521
2011-2012	66	£233,863
2010-2011	88	£531,973

Financial Work into Organised Crime Groups

- 2.24 The Financial Investigation Teams provide full support into the investigation of all Organised Crime Groups. This involves pro-active financial investigation work, restraint, cash seizure proceedings and confiscation. This financial investigation work is significant and demands a great deal of the Financial Investigations Teams time and resources. It is estimated that between 30% and 40% of the Financial Investigation Teams resources are consistently spent on OCG work.
- 2.25 Over the past twelve months the financial investigation work conducted into Organised Crime Groups has naturally increased as the Force has undertaken more demanding OCG work. At this time, however, there is no financial data available to accurately assess the proportion of financial investigation work linked to OCGs, having specific regards to confiscation and cash forfeiture results. Such data will be available in time as the OCG work progresses and more cases come to fruition.

Impact of Regionalisation upon the Force Financial Investigation Team

- 2.26 The formation of regional money laundering and fraud investigation teams has had a significant impact upon the Force Financial Investigation set up. In order to staff the regional teams, financial investigation officers have been taken from the original Divisional Financial Investigation Teams and the Central Financial Investigation Unit. This left insufficient financial investigators to staff a centrally based Financial Investigation Unit and North and South pro-active financial investigation teams.
- 2.27 In order to facilitate the best use of the financial investigators that were left within Force, the Central Financial Investigation Unit was disbanded and the remaining financial investigators then formed two units, the North and the South Financial Investigation Teams. The duties that were previously the domain of the Central Financial Investigation Unit are now undertaken by the North and South Teams. These responsibilities include all restraint cases, confiscation investigations, cash seizure work and Consent Reports.
- 2.28 The Consent Reports are the requests from the Regulated Sector requesting authority do deal with a future transaction where there are concerns within the Regulated Sector concerning possible money laundering activity (the Regulated Sector consists of the financial institutions, solicitors, accountants, and businesses dealing with cash transactions to the value of 15,000 Euros or more). A financial investigator must then investigate the report and either grant consent to the transaction taking place, if no criminal activity is discovered, or to refuse consent if the transaction is believed to relate to criminal activity and then restrain the money subject to the Consent Report and pursue a criminal investigation. On average, the Force receives 30 Consent Reports per annum.
- 2.29 The responsibilities of the two Financial Investigation Teams are;
- The provision of assistance and support to all front line officers with regards to all financial investigation work
 - To support all 'Organised Crime Group' investigation work within Force with regards to the application of financial Investigation
 - The review all crime files that are produced in order to identify and progress all Proceeds of Crime opportunities
 - To deliver training on all aspects of the proceeds of Crime Act and financial investigation work to the Divisions
 - The pursuit of all restraint work
 - The investigation into all confiscation cases resulting in the production of confiscation files and the presentation of those cases at the Crown Court
 - The management and progression of all cash seizure work

- The investigation of all Consent Reports received from the Regulated Sector via SOCA

The General Application of Financial Investigation Work within Force

- 2.30 It has to be recognised that the successful confiscation and forfeiture results that are achieved are, in many respects, simply a consequence of good criminal investigation work that is pursued within Force. It is, after all, the successful conviction of criminal offences that trigger confiscation action.
- 2.31 Having an effective and efficient Financial Investigation Team is paramount to achieving successful confiscation and forfeiture results. It is, however, a fact that the better quality of criminal that is investigated and convicted, with regards to the values of crimes being committed and the wealth accrued by such an individual, then the healthier a confiscation order will be achieved. It also follows that an increase in the number of suitable crime cases that are pursued within Force, that naturally trigger confiscation and forfeiture action, will cause a greater number of orders to be imposed by the Courts.
- 2.32 It must also be recognised that examination of the restraint orders, confiscation and cash seizure data is not the only measure of the effectiveness of financial investigation work pursued by the Force. Financial investigation is not just about asset recovery. Financial investigation techniques are used in various types of criminal investigation work, irrespective of whether these case will achieve a positive confiscation or forfeiture result at Court.
- 2.33 Financial investigation work is applied in order to assist in the detection of crime and the prosecution of offenders. Proactive financial investigation work is pursued into all types of criminal investigation cases including serious offences, for example murder, through to lesser offences such as simple theft. This work, however, will not be recognised in the restraint, confiscation and forfeiture data that is produced. To provide an example of the extent of proactive financial investigation work that is conducted in order to support criminal investigation work throughout the Force, between 1st April 2013 and 4th September 2013, the Financial Investigation Teams have conducted a total of 1,048 separate financial investigations.
- 2.34 With regards to the National Incentivisation Scheme, it should be noted that there is no guarantee that the scheme will exist under its current format into the future. Although it is hoped that the Force will continue to receive the pay back of a percentage of monies confiscated and forfeited, there is no guarantee beyond the current financial year 2013/2014. The incentivisation monies that we receive must be viewed as a bonus from the Force's perspective. The Home Office could alter the scheme and end the return of monies back to Forces. Although nothing has been produced from the Home Office to indicate payments will cease, the National Scheme does appear to run from year to year and we wait next April to see if any changes are forthcoming.

3. RECOMMENDATIONS

3.1 For the meeting to note the report.

4. IMPLICATIONS

All implications are assessed and scored to the table below.

HIGH – supporting explanation and narrative required and to be contained within the report

MEDIUM – narrative to be contained within the report at the discretion of the author

LOW – no narrative required

	LOW	MEDIUM	HIGH
Crime & Disorder		X	
Environmental	X		
Equality & Diversity	X		
Financial	X		
Health & Safety	X		
Human Rights	X		
Legal	X		
Personnel	X		

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ATTACHMENTS

Appendix A Chart displaying volume of Cash Seizures, Cash Forfeitures, Restraint Orders and Confiscation Orders covering the last three financial years.

Appendix B Chart displaying the value of Cash Seizures, Cash Forfeitures, Restraint Orders and Confiscation Orders covering the last three financial years.

Appendix C Chart displaying the incentivisation monies returned to the Force, the amount budgeted by the Force with regards to incentivisation monies and the Force contributions to the Financial Investigation Teams covering the last three financial years.

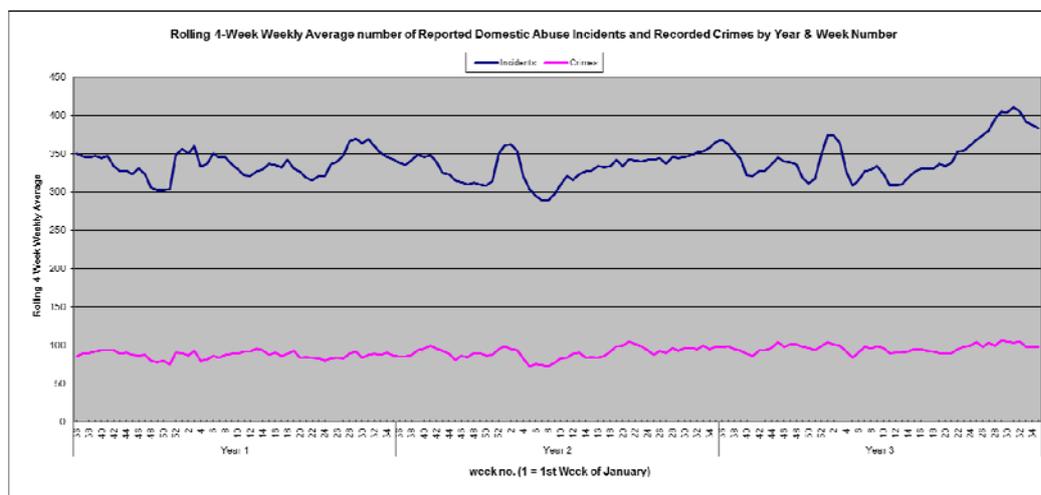
STRATEGIC GOVERNANCE BOARD
14 OCTOBER 2013
REPORT OF THE CHIEF CONSTABLE

12C: DOMESTIC VIOLENCE

1. PURPOSE OF THE REPORT

1.1 To inform the Police and Crime Commissioner as to the current trends and emerging issues relating to Domestic Abuse.

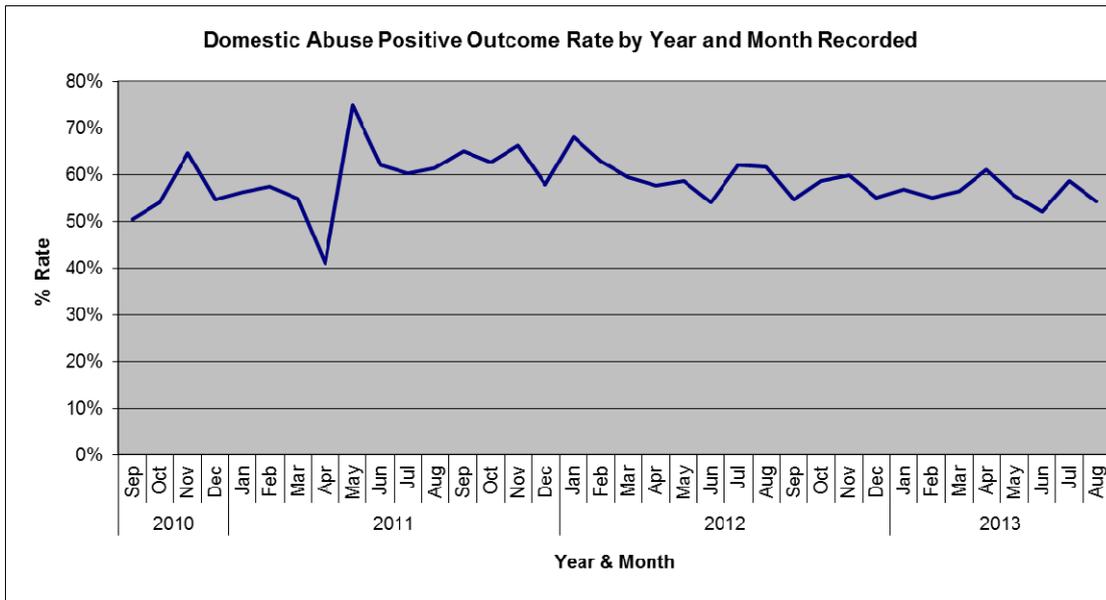
2. INFORMATION AND ANALYSIS



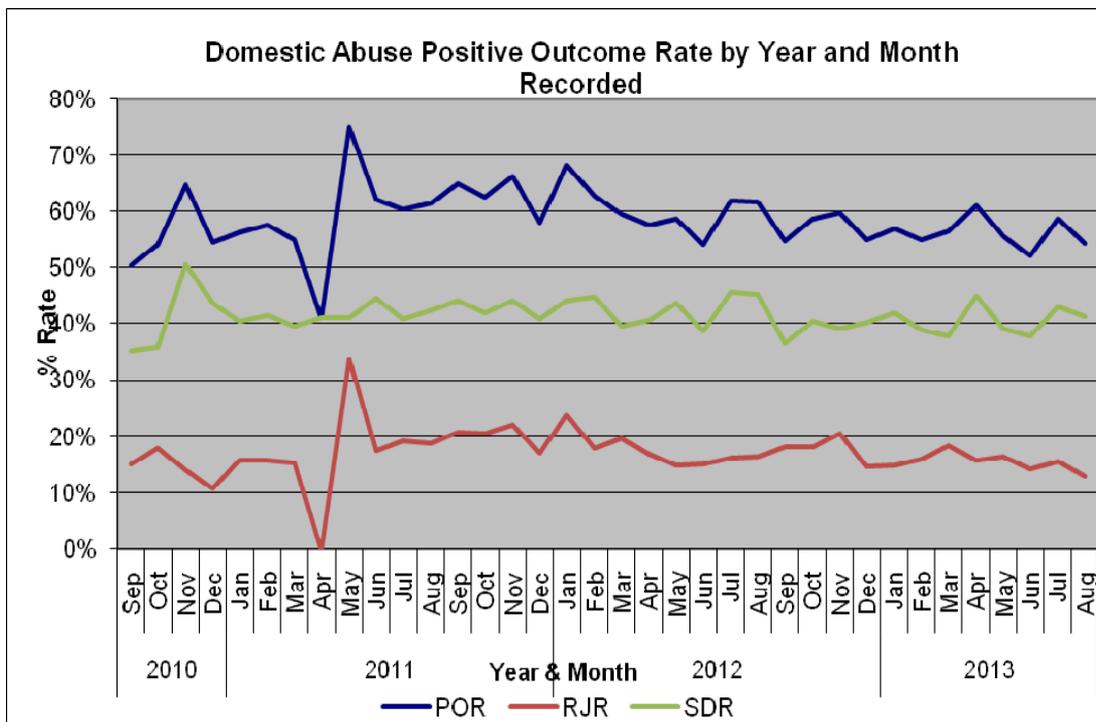
2.1. During the last 12 months, there have been 18,069 domestic abuse incidents and 5,017 domestic abuse offences recorded. Over this period, both incidents and crimes have seen increases in comparison to the same period of the previous year by 5% and 7% respectively.

2.2. Not all crimes will have a corresponding incident however approximately 25-30% of all incidents will result in a crime.

2.3. The following chart outlines the monthly positive outcome rates for the past 3 years.



2.4. The monthly positive outcome rate for the last 12 months has fluctuated between 50% and 60%, with the current positive outcome rate being 56.5% for the twelve month period. Of the positive outcome rate, 16.3% is accounted for by restorative disposals.



- 2.5. In March 2013 the definition of domestic violence was extended to include 16-18 year olds. This change in legislation also came following the introduction of new legislation to prevent stalking and harassment which has been introduced to strengthen the response to domestic violence.
- 2.6. During the period 1st March to 31st August 2013, there have been 9,657 Domestic Abuse referrals. Of these 278 involved victims that were aged 16 or 17. This compares to the previous year where 147 referrals were recorded with victims aged 16 or 17 before the change in definition. Therefore the change in definition in relation to the age of the victim has increased the overall volume of referrals by approximately 1.5%.
- 2.7. In addition to this, during the same period, there were 370 referrals recorded where the perpetrator was aged 16 or 17 compared to 102 in the previous year. Therefore, the change when applied to perpetrators has increased the overall referral level by approximately 2.5%.
- 2.8. Since the introduction of the new Stalking and Harassment legislation under the Protection of Freedoms Act in 2012, there have been 16 stalking related offences recorded.

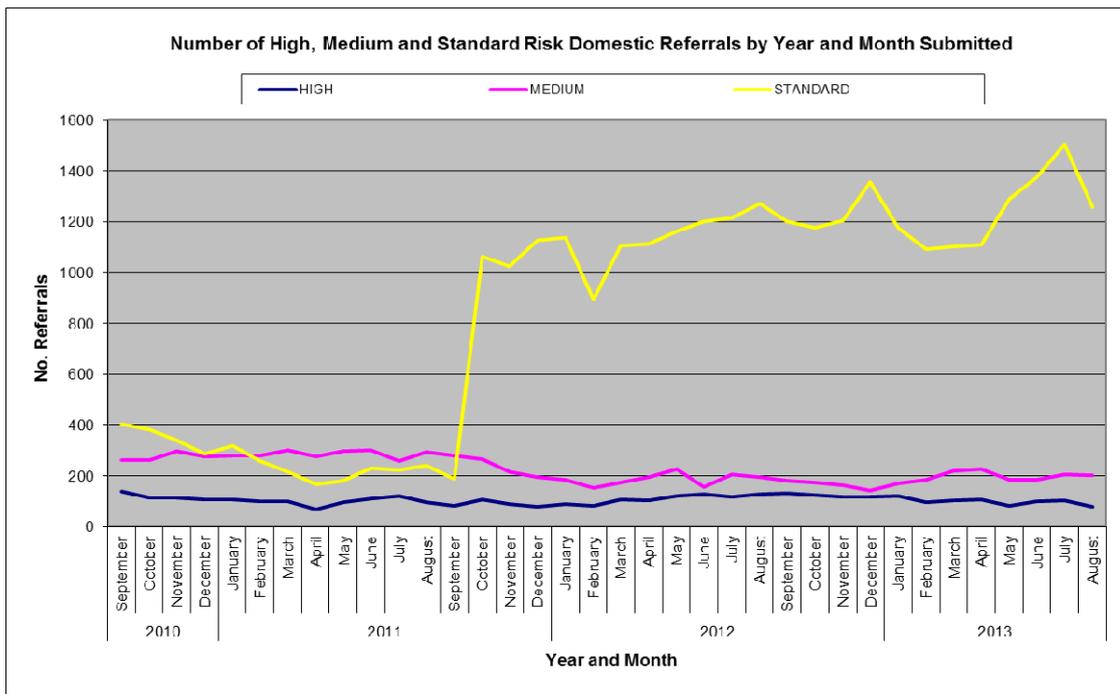
3. RE OFFENDING ISSUES/REPEAT VICTIMISATION

- 3.1. In August 2013 there were 400 victims of domestic abuse related offences. Of these victims, 114 were victims of more than one offence in the last three years. There is work on going to understand the re offending rates of those dealt with through the criminal justice system for domestic abuse. It is extremely difficult to produce data showing reoffending rates. At present the Ministry of Justice produce reoffending information although as they state, there is no definition for reoffending and measuring true reoffending is complex.
- 3.2. In order to produce reoffending information, the Ministry of Justice follows offenders for a year period following the offender either being released from custody, receiving a non-custodial conviction at court, receiving a caution, reprimand, warning or testing positive for opiates or cocaine.
- 3.3. It is not at this time possible for us to replicate this methodology however the Public Protection Unit have managed to produce some useful repeat and serial offender information based on the systems currently operating and the knowledge held within the department a quarterly list of repeat offenders is being sent to the Divisions.
- 3.4. Based on the information provided the Divisions, in conjunction with Crime support and partners, target the perpetrators as deemed appropriate.

- 3.5. Given the relatively low number of identified offenders on B Division they have utilised the MARAC process to discuss each identified perpetrator.
- 3.6. C Division have utilised the Domestic Abuse Perpetrator Scheme (Outlined in more detail later in the report) to try and engage with identified perpetrators. They have managed to engage with appropriately 50% of their identified repeat/serial perpetrators who have voluntarily attended the scheme.
- 3.7. D Division has the largest proportion of identified perpetrators and utilise the weekly tasking process to discuss each case and consider any intervention that may be appropriate.
- 3.8. There is clearly much work to be done to identify repeat and serial domestic violence offenders in order to tailor any police and partnership response to their behaviour. This initial work has enabled the organisation to focus on some key offenders, but the issues are complex and the police interventions varied. The development of the repeat and serial offender work will be overseen by the Domestic Violence and Serious Sexual Offending Board.
- 3.9. In relation to offenders, there were 184 offenders charged in August 2013. Of these 69 have been offenders to more than one offence in the last three years. As with the victims, it is not possible to identify which of these relate to linked offences or which relate to separate incidents.

4. BREAKDOWN OF DOMESTIC ABUSE RISK IDENTIFICATION

- 4.1. The below chart provides details of the monthly split between standard, medium and high risk referrals for the past 3 years;



- 4.2 Over the time period, the overall number of domestic abuse referrals have increased significantly, however, this coincides with the introduction of the national DASH risk assessment that was rolled out in October 2011. In general, the number of standard referrals has increased slightly over the time period, whereas both medium and high referrals have fallen during the period. The introduction of the DASH risk assessment increased the focus on the completion of appropriate risk assessments following any domestic violence incident.
- 4.3. Of all the recorded offences committed within Derbyshire during the last three years, 12% of offences related to domestic abuse. There are certain offences which are more directly linked to domestic violence incidents. These include violence with injury, sexual offences, damage and arson. The below chart shows the proportion of domestic violence related offences within those crime categories.

	Domestic Abuse	All Crime	% Domestic Abuse
Criminal damage and arson	1,482	21,945	6.8%
Rape	188	551	34.1%
Sexual offences (excl rape)	65	1,229	5.3%
Violence with injury	6,263	13,100	47.8%
Violence without Injury	5,771	9,983	57.8%
Total	13,769	46,808	29.4%

5. **DOMESTIC VIOLENCE INTERVENTIONS**

- 5.1. Within Derbyshire the policy in relation to domestic abuse incidents is always to take positive action where possible and pursue a criminal justice outcome. There are, however, incidents that fall within well defined boundaries that can be dealt with through the restorative justice approach that has been utilised within Derbyshire for some time.
- 5.2. In support of the restorative justice process embedded within Derbyshire the Domestic Abuse Perpetrator Scheme (DAPS) has been developed and is currently being piloted within B and C Division.
- 5.3. In order to be eligible for the DAPS scheme an officer will attend an incident to establish the exact nature of the domestic violence incident.
- 5.4. A Domestic Abuse Stalking and Harassment (DASH) form is then completed. The completion of the DASH will indicate if the incident represents a low, medium or high risk event. If there is an identified risk of medium or high then DAPS is not deemed an appropriate disposal option. Any DASH form completed by an officer is reviewed by the Central Referral Unit (CRU) and where appropriate other agencies such as Social Care informed where appropriate.
- 5.5 If an incident is graded as a standard risk, and there is no realistic prospect of pursuing a criminal justice outcome, the attending officer may consider a

restorative justice disposal. This will be based on their professional judgement and the wishes of the complainant.

- 5.6. The organisation has issued guidance to all staff in relation to the completion of intelligence and background checks for anyone identified as a domestic violence perpetrator to ensure that there are no inappropriate individuals referred into the DAPS scheme.
- 5.7. If a restorative justice outcome is to be pursued for a domestic violence incident attending officer must seek the authority of an Inspector in order to progress. The Inspector is expected to review the case with the attending officer, consider all the aspects of the incident and any associated risk. Following the review the Inspector is required to document their rationale explaining why they support a restorative justice disposal and referral into the DAPS process.
- 5.8. An individual crime report is created for every domestic violence crime, regardless of disposal. This covers all DAPS driven interventions and should an offender go through the DAPS scheme, and subsequently come to light again, they will not be put forward for DAPS again.
- 5.9. The victim in any crime is the main focus of any domestic violence investigation. If no criminal justice outcome can be pursued, or is not supported by the victim, the restorative justice process is explained fully by the attending officer. This will clearly cover the DAPS scheme for the pilot areas of B and C Division.
- 5.10 The victim must support a DAPS intervention, they would never be forced into resolving their crime with a DAPS disposal.
- 5.11 The DAPS scheme has been reviewed and it is recognised that the scheme does not represent a solution to all low risk domestic violence incidents. Whilst there has been some negative feedback in relation to the scheme on the whole the feedback has been positive, with a lot of victims stating that they felt safer and the DAPS approach had improved their situation.
- 5.12 At the time of writing this report a total of 80 people have been on the DAPS since 07/01/2013. Of the 80 who have attended eight of those have come to Police attention again since the DAPS intervention. This reoffending does include perpetrators who have been subject of a further reported domestic incident but that may have fallen short of any criminal justice intervention. These incidents have been included as it was felt that simply looking at the further criminal offences committed was too simplistic and it may not give a true reflection of a perpetrators behaviour.
- 5.13 It is difficult to quantify reoffending rates within the DAPS pilot due to the fact perpetrators deemed suitable for DAPS will have very minor or no previous convictions and would be less likely to re offend when compared with serial perpetrators.
- 5.14 Given the mixed feedback to the DAPS scheme the pilot will not be extended to the rest of the organisation at this time. It is now proposed to further develop the DAPS scheme, with partners, and when the programme has been suitably developed expand it to cover all Divisions.

- 5.15 Nationally, there is currently a perpetrator programme being piloted by Hampshire Police. This is supported by the Crown Prosecution Service and involves a criminal justice outcome delivered through a conditional caution. The process involves the perpetrator remaining on bail for a domestic violence offence until they have completed a perpetrator workshop. This approach will remain under review and may be used to inform the development of the DAPS scheme.
- 5.16 The DAPS scheme represents an innovative approach to providing some form of low level intervention for those circumstances whereby there would be little or no intervention from agencies. Whilst there continue to be problems with the structure of the programme at this time, it is thought that the approach is worth pursuing in the interests of victims and perpetrators.

6. DOMESTIC HOMICIDE REVIEWS

- 6.1. Domestic violence presents considerable risk of homicide. Since 2011 there has been a requirement to undertake detailed reviews into the circumstances surrounding any death arising out of a domestic violence incident. Within Derbyshire there have been seven such reviews which have resulted in changes to practice for all agencies.
- 6.2 During the last 12 months there has been one domestic homicide review. This review recommended awareness raising across the organisation in relation to warning signals being placed on the PNC for domestic violence offenders. This recommendation has been completed.

7. MULTI AGENCY RISK ASSESSMENT CONFERENCES (MARAC)

- 7.1. The establishment of MARAC arrangements is now well embedded nationally and within Derbyshire. The process involves the multi agency management of all identified high risk domestic violence cases and has recently been the subject of a review commissioned through the Domestic Violence and Serious Sexual Violence Board.
- 7.2. The number of repeat cases continues to decrease and this should be seen as a positive trend in that the risk management process is reducing the vulnerability of victims.
- 7.3. The management of high risk domestic violence cases through the MARAC process is recognised as best practice and supporting the multi agency protection of victims. The MARAC process within Derbyshire continues to work well but will be enhanced by the appropriate implementation of the recommendations from the recent review.
- 7.4. The recommendations highlighted as a result of the review cover the following areas;
- 7.4.1. To decrease the MARAC threshold score to 14 ticks for a period of 12 months and review after this time.
- 7.4.2 To work with Children's Social Care, Adult Care, Health, Housing etc to increase referral numbers into MARAC.

- 7.4.3 To give consideration to the merging MARACs together based on referral numbers.
- 7.4.4 Cases are limited to 15 minute timeslots during MARAC meetings.
- 7.4.5 Cases are co-ordinated better when listed to enable agencies to leave and arrive when their case is discussed.
- 7.4.6 Consideration is given to allocating time slots to allow agencies to attend when required.
- 7.4.7 To obtain clarity regarding professional judgement and to ensure it is embedded within MARAC training.
- 7.4.8 Reinforce the purpose of sharing information and the importance of other agencies information.
- 7.4.9 MARAC administration team to communicate clearly with the referrer if they require more information regarding the referral or if they feel the referral is inappropriate.
- 7.4.10 To look at other staffing options to offer resilience and support for the MARAC administration team and consider other agency staff/secondments.
- 7.4.11 Provide resources to assist the supervisor ie Laptop to aid minute taking.
- 7.4.12 MARAC supervisor role is reviewed against the current job description and the job description suggested by CAADA.
- 7.4.13 The recommendations emerging from the review are currently being progressed and the work will be monitored by the DV/SSV Board.

8. FUNDING

- 8.1. The reduction in funding for both statutory and none statutory agencies continues to present challenges. A number of domestic violence support services have seen their budgets reduced, which in turn has affected the availability of support for victims and perpetrators.

9. HMIC DOMESTIC VIOLENCE FOCUS

- 9.1. The Home Secretary has recently announced that the HMIC will be tasked with reviewing the work of Constabularies in relation to their response to domestic violence. This is in response to a number of high profile investigations nationally which have highlighted deficiencies in practice.

9.2 The review work has to be completed by April 2014 and Derbyshire has agreed to support this work through the short term secondment of a Public Protection Detective Chief Inspector.

10. RECOMMENDATIONS

10.1 That the Police and Crime Commissioner notes the report and identifies any actions arising.

11. IMPLICATIONS

All implications are assessed and scored to the table below.

HIGH – supporting explanation and narrative required and to be contained within the report

MEDIUM – narrative to be contained within the report at the discretion of the author

LOW – no narrative required

	LOW	MEDIUM	HIGH
Crime & Disorder		X	
Environmental	X		
Equality & Diversity	X		
Financial		X	
Health & Safety	X		
Human Rights	X		
Legal	X		
Personnel	X		

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STRATEGIC GOVERNANCE BOARD

14 OCTOBER 2013

REPORT OF THE CHIEF EXECUTIVE

**13A: SUMMARY OF ISSUES RAISED TO THE COMMISSIONER BY
ORGANISATIONS WORKING WITH VICTIMS OF DOMESTIC ABUSE IN
DERBYSHIRE**

1. PURPOSE OF THE REPORT

1.1 To summarise the current issues, risks and threats raised by two organisations working with victims of Domestic Abuse in Derbyshire.

2. INFORMATION AND ANALYSIS

2.1 The Commissioner requested summaries from two organisations who work with victims of Domestic Abuse in Derbyshire - Hadhari Nari and North Derbyshire Women's Aid - to cover their current issues, risks and threats and provide additional background and context to the Constabulary's Report on Domestic Abuse. The full summaries have been shared with the Constabulary.

2.2 The main issues raised were as follows:

- Reduction in the number of emergency refuge bed spaces, which alongside cuts to other services such as Citizens Advice Bureaux, Legal Aid and services provided by local councils, could increase social exclusion, homelessness and potential emotional / mental health issues for people fleeing domestic abuse;
- Concern about the effectiveness of the Domestic Abuse Perpetrator Scheme (DAPS);
- Continued funding for the Court Independent Domestic Violence Advocacy post, which has helped secure a 35% reduction in victim retractions;
- Concern about information sharing and appropriate use of information;

- Concerns about victims assessed as standard risk, and the level of service provided to them, and the safeguarding of children involved in Domestic Abuse situations;
- Concerns that officers may be perceived by victims to be taking sides with perpetrators; and
- That MARAC assessments are only undertaken by fully trained staff.

3. RECOMMENDATIONS

- To commend the reports from Hadhari Nari and North Derbyshire Women's Aid to the Constabulary to consider and action appropriately.

4. IMPLICATIONS

All implications are assessed and scored to the table below.

HIGH – supporting explanation and narrative required and to be contained within the report

MEDIUM – narrative to be contained within the report at the discretion of the author

LOW – no narrative required

	LOW	MEDIUM	HIGH
Crime & Disorder		X	
Environmental	X		
Equality & Diversity	X		
Financial	X		
Health & Safety	X		
Human Rights	X		
Legal	X		
Personnel	X		

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STRATEGIC GOVERNANCE BOARD
14 OCTOBER 2013
REPORT OF THE CHIEF CONSTABLE AND TREASURER

14A: FINANCE BRIEFING – PERIOD 5

1. PURPOSE OF THE REPORT

- 1.1 This report provides an overview of the financial position for the Force as a whole as a period 5 (30 August 2013).

2. INFORMATION AND ANALYSIS

- 2.1 The document provides information on both the revenue and capital budgets as well as Treasury Management.
- 2.2 At this stage the figures need to be treated with the some caution, although after five months they give a more realistic indication of the end of year financial position
- 2.3 We cannot predict the impact of future policing demands or indeed the level of police officer retirements, which will impact on the budget.
- 2.4 At this stage, there are no immediate issues that give rise to concern and it is expected that spending will be well within the allocated budget.
- 2.5 The Briefing also highlights progress against other business areas including Estates Management, Information Services and Procurement.

3. RECOMMENDATIONS

- i. That the Commissioner notes the current financial position of the Derbyshire Police as set out in the Finance Briefing Document.

4. IMPLICATIONS

	LOW	MEDIUM	HIGH
Crime & Disorder	X		
Environmental	X		
Equality & Diversity	X		
Financial	X		
Health & Safety	X		
Human Rights	X		
Legal	X		
Personnel	X		

Contact details in the event of enquiries	Name: Chief Superintendent Gary Knighton External telephone number: 0300 122 4039 Email address: sgbenquiries@derbyshire.pnn.police.uk
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ATTACHMENTS

Appendix A Finance Briefing (Period 5)

FINANCIAL BRIEFING – DERBYSHIRE POLICE

Executive Summary

Finance

	Last Month	This Month (31/5/13)	
Budget Out-turn	£2.637m (underspend)	£2.017m (underspend)	
Collaboration	£0.318m (underspend)	£0.318m (underspend)	
Treasury Management	0.59%	0.57%	
Capital Programme committed	25%	32%	
Reserves (projected)	£39.641m	£39.459m	

The projected under-spend for the year has reduced slightly compared to last month. This is a result of meeting an above average number of ill health police officer retirements at a cost of £735,000. This is equivalent to a cost of two years salary for each officer.

Estates

	Last Month	This Month	
Schemes in Preparation	3	3	
Schemes Out for Tender	2	2	
Schemes Commenced			
Schemes Underway	2	2	
Schemes Completed			

The Biomass Boiler is now being installed on site (4/10). The new cleaning contract with Mitie has now run for some 6 months with no major performance issues. Work is commencing to re-tender the Grounds Maintenance Contract.

IS

	Last Month	This Month	
Proposal	6	6	
Start Up	3		
Execution	14	14	
Closure	0	2	
Completion			

Remote Working (iPad) – Progress continues towards the infrastructure implementation. Initial pilot has begun and 27 trial devices have been issued.

Network Improvement Project – The call centre solution is at technical design phase and discussions with CCMC SMT are continuing

The Pentip project was closed during this period and the Regional Document Image Recording project has been put on hold pending further cost and benefit analysis.

Overall a total of 3,460 calls were received by the Help desk in August.

Procurement

The procurement team have negotiated significant cost reductions within the regional forensics budget that could be worth in excess of £500,000. The savings will depend on how carefully managed the forensics submissions are. Elsewhere a number of capital projects have also come in well within the capital programme budget.

FINANCIAL BRIEFING – DERBYSHIRE POLICE

Overall Financial Performance

Overall Financial Performance	Original Budget £000	Expected Year- end Projection £000	Variance £000
Office of the PCC	1,533	1,516	(17)
Derbyshire Constabulary	166,310	164,310	(2,000)
Contribution to Reserves	-633	-633	0
TOTAL	167,210	165,193	(2,017)

Key Variances

Anticipated Savings	£000	Additional Spending	£000
Police Officer Overtime	(321)	Police Pensions - Increased number of ill health retirements	735
Police Officer Pay – Timing of intakes & leavers	(1,029)	Premises Costs	
Police Staff Pay	(468)	Repairs & maintenance	58
Other Employee Expenses	(61)	Utilities	78
Premises Costs		Rents	69
Rates	(53)	Supplies & Services	
Unitary Fee - PFI	(56)	Professional Fees	54
Various Other	(60)	Photocopiers	49
Transport Costs	(28)	Interest Receipts	120
Supplies & Services and Agency Costs		Income	17
Equipment	(87)		
Uniform	(35)		
Printing & Stationery	(39)		
Interpreters	(77)		
IT Related	(189)		
Vehicle Recovery Contract (Including income)	(49)		
Various Other	(24)		
Agency Costs	(149)		
Government Grants	(147)		
Regional Units	(224)		
Secondments	(33)		
Regional & National Policing	(17)		
Grants & Partnerships	(34)		
PCC	(17)		
TOTAL	(3,197)	TOTAL	1,180

Police Officer Overtime: £0.321m underspend. This is in line with previous estimates, with the majority of the underspend continuing to be against the three divisions and Major Crime.

Police Officer Pay and Allowances: £1.029m underspend. This underspend is caused by the timing differences between the leavers to date and the expected intakes and is consistent with the estimate for July.

Police Pensions: £0.735 overspend. There have been nine ill health retirements that have been processed to date and a further five that are planned.

Police Staff Pay: £0.468m underspend. The majority of this underspend continues to be against IS and Crime Support who have a number of vacancies. The recruitment for the PSCO vacancies has now taken place.

FINANCIAL BRIEFING – DERBYSHIRE POLICE

Supplies & Services £0.653m underspend. The underspend has increased from July due to estimated underspends on agency costs which are demand led. Future expenditure levels will be influenced by the occurrence or otherwise of major incidents.

Future Financial Pressures

The force is currently monitoring the impact of proposals to transfer responsibility for certain police investigations to the IPCC. There is a strong indication that all police forces will lose funding to pay for this transfer of responsibility, although it is less likely that all forces will see a reduction in their workload. In Derbyshire this could amount to a loss of funding of some £0,5m to £1m.

Collaboration

COLLABORATION	Budget £000	Projected Out-turn £000	Variance £000
Cash Contributions	5,650	5,602	(48)
Officers in Kind Contributions	1,993	2,012	19
Non-shared Contributions - E M Major Crime Unit	2,325	2,130	(195)
Non-shared Contributions - Contrib to Software Maint -	19	19	0
TOTAL	9,968	9,744	(224)

Treasury Management

	Previous Year £m	Budget £m	This Month £m	Last Month £m
PWLB & Transferred Debt	8.106	7.560	7.921	7.973
PFI Liabilities	13.715	13.466	13.611	13.632
Total Borrowing	21.821	21.026	21.532	21.605
Total Average Investments	50.37	50.0	51.6	49.1
Total Investment Income	(0.404)	(0.400)	(0.152)	(0.124)
Average Interest Earned	0.79%	0.80%	0.57%	0.58%
Average LIBID Rate	0.33%		0.31%	0.32%
Base Rate	0.50%	0.50%	0.50%	0.50%

It is estimated that total investment income by the end of the year will be £280k, this is below the original budget of some £400,000. Investment returns continue to decline, as money invested at higher rates is returned and can only then be placed at even lower rates.

FINANCIAL BRIEFING – DERBYSHIRE POLICE

Capital Programme

	Buildings £m	Equipment & vehicles £m	IT £m	Invest to Save £m	EMCTIU £m	Total £m
Actual to 30 August 2013	132	159	149	0	(1)	439
Commitments & Payments to be paid	886	830	810	106	0	2,632
Total to 30 August 2013	1018	989	959	106	(1)	3,071
Revised Capital Programme	2,453	1,564	5,114	424	38	9,593
Budget remaining	1,435	575	4,155	318	39	6,522

The revised capital programme was updated in September and will be reflected in the next briefing report.

Reserves

	Balances at 31/03/13 £000	Projected Movements 2013/14 £000	Transfers Between Reserves £000	Projected Contributions to Capital 2013/14 £000	Estimated Balances at 31/03/14 £000
GENERAL RESERVES	3,300				3,300
Useable:-	32,507	(432)	0	(2,697)	29,378
Non-useable:-	6,978	(197)	0	-	6,781
TOTAL EARMARKED RESERVES	39,485	(629)	0	(2,697)	36,159
TOTAL RESERVES	42,785	(629)	0	(2,697)	39,459

Detailed Budget Analysis

Annex A

FINANCIAL BRIEFING – DERBYSHIRE POLICE

Derbyshire Police		Original Budget £000	Expected Year- end Projection £000	Variance £000	
	Crime & Territorial Policing	16,623	15,990	(633)	●
	Operational Support	16,303	16,251	(52)	●
	Corporate Services	6,092	5,953	(139)	●
	Finance & Business Services	13,405	13,033	(373)	●
	Force	103,268	102,688	(580)	●
	EMSOU	9,987	9,763	(224)	●
	Office of the PCC	1,533	1,516	(17)	●
	TOTAL	167,210	165,194	(2,017)	●
Subjective Analysis		Original Budget £000	Expected Year- end Projection £000	Variance £000	
	Police Officers & Pensions	96,395	95,780	(615)	●
	Police Staff	35,705	35,237	(468)	●
	Other Indirect Officer/Staff Costs	582	520	(61)	●
	Premises	8,754	8,790	36	●
	Transport	3,745	3,716	(28)	●
	Supplies & Services, Agency, Pay and Price Contingency, Debt Charges and RCCO	15,936	15,284	(653)	●
	Regional Units	9,987	9,730	(224)	●
	Secondments	33	0	(33)	●
	Contribution to National Policing	24	6	(17)	●
	BCU Funds	626	627	0	●
	Partnerships & Other Grants	665	631	(34)	●
	Contribution from Reserves	(633)	(633)	-	●
	Office of the PCC	1,533	1,516	(17)	●
	Income	(6,142)	(6,044)	98	●
	TOTAL	167,210	165,161	(2,017)	●
<p>() Underspend ● Low risk/no action required ● Medium risk/management review required ● High risk/management attention required</p>					

FINANCIAL BRIEFING – DERBYSHIRE POLICE

Detailed Capital Analysis Annex A

Scheme	Total Original Budget £000	Total Revised Budget £000	Actual, Commitments & Payments to be paid £000	Budget Remaining £000	Spend %
Expenditure					
Building Work/Land	1,585	2,453	1,018	1,435	42%
IS/Communications	3,235	4,602	857	3,745	19%
Mobile Data & Fusion	360	512	102	410	20%
Invest to Save Schemes	0	424	106	318	25%
Vehicles	1,080	1,282	915	367	71%
Equipment	250	282	74	208	26%
EMCTIU	38	38	(1)	39	-3%
TOTAL	6,548	9,593	3,071	6,522	32%
Regional Projects (to be recharged)		0	75	(75)	
TOTAL	6,548	9,593	3,146	6,447	

Properties Sold

No properties have been sold to date in 2013/14 against a budget of £720k.

Reserves

	Balances at 31/03/13 £000	Projected Movements 2013/14 £000	Transfers Between Reserves £001	Projected Contributions to Capital 2013/14 £000	Estimated Balances at 31/03/14 £000
GENERAL RESERVES	3,300				3,300
EARMARKED RESERVES					
Useable:-					
Operational Priorities	1,325				1,325
Operational Funding	19,282				19,282
Contribution to Capital	5,819			(1,774)	4,045
Helicopter	50				50
Carry-forwards	3,608	(182)			3,426
Police & Crime Commissioner Transition	1,000	(250)			750
Pensions	500				500
Invest To Save	923			(923)	0
	32,507	(432)	-	(2,697)	29,378
Non-useable:-					
PFI - Ilkeston	1,282	(26)			1,256
PFI - Derby	4,253	(188)			4,065
Helicopter Debt Charges	267	7			274
Insurance	1,176	10			1,186
	6,978	(197)		-	6,781
TOTAL EARMARKED RESERVES	39,485	(629)		(2,697)	36,159
TOTAL RESERVES	42,785	(629)		(2,697)	39,459

STRATEGIC GOVERNANCE BOARD
14 OCTOBER 2013
REPORT OF THE CHIEF EXECUTIVE

15A DECISIONS TAKEN BUT NOT YET REPORTED TO THE STRATEGIC GOVERNANCE BOARD

1. PURPOSE OF THE REPORT

1.1 This report is a formal record of the Decisions that have been taken by the Commissioner that have not yet been included on a Strategic Governance Board agenda.

2. INFORMATION AND ANALYSIS

2.1 The Meetings and General Protocols policy requires that any decision taken by the Commissioner between Strategic Governance Board meetings are reported to the next Board Meeting.

2.2 A record of all decisions made are published on the Commissioner's website as soon as possible after the decision is made and are therefore available as public information at <http://www.derbyshire-pcc.gov.uk/Public-Information/PCC-Decision-Making.aspx>

2.3 The following Decisions have been made by the Commissioner:

Report Title	Decision No.	Date Signed
DDHQ – alterations TO St Mary's Wharf	71/13	17/09/13
Renovation/refurbishment of force HQ buildings	72/13	17/09/13
Information Service Contracts	73/13	17/09/13

3. RECOMMENDATION

3.1 That the Decisions referenced 71/13 to 73/13 are noted as being published documents contained within the Commissioner's decision log which can be accessed on the Derbyshire Police and Crime Commissioner website.

4. IMPLICATIONS

All implications are assessed and scored to the table below.

HIGH – supporting explanation and narrative required and to be contained within the report

MEDIUM – narrative to be contained within the report at the discretion of the author

LOW – no narrative required

	LOW	MEDIUM	HIGH
Crime & Disorder	√		
Environmental	√		
Equality & Diversity	√		
Financial	√		
Health & Safety	√		
Human Rights	√		
Legal		√	
Personnel	√		

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Background papers/attachments:

NO